

Prompting in Visual Intelligence

Kaiyang Zhou

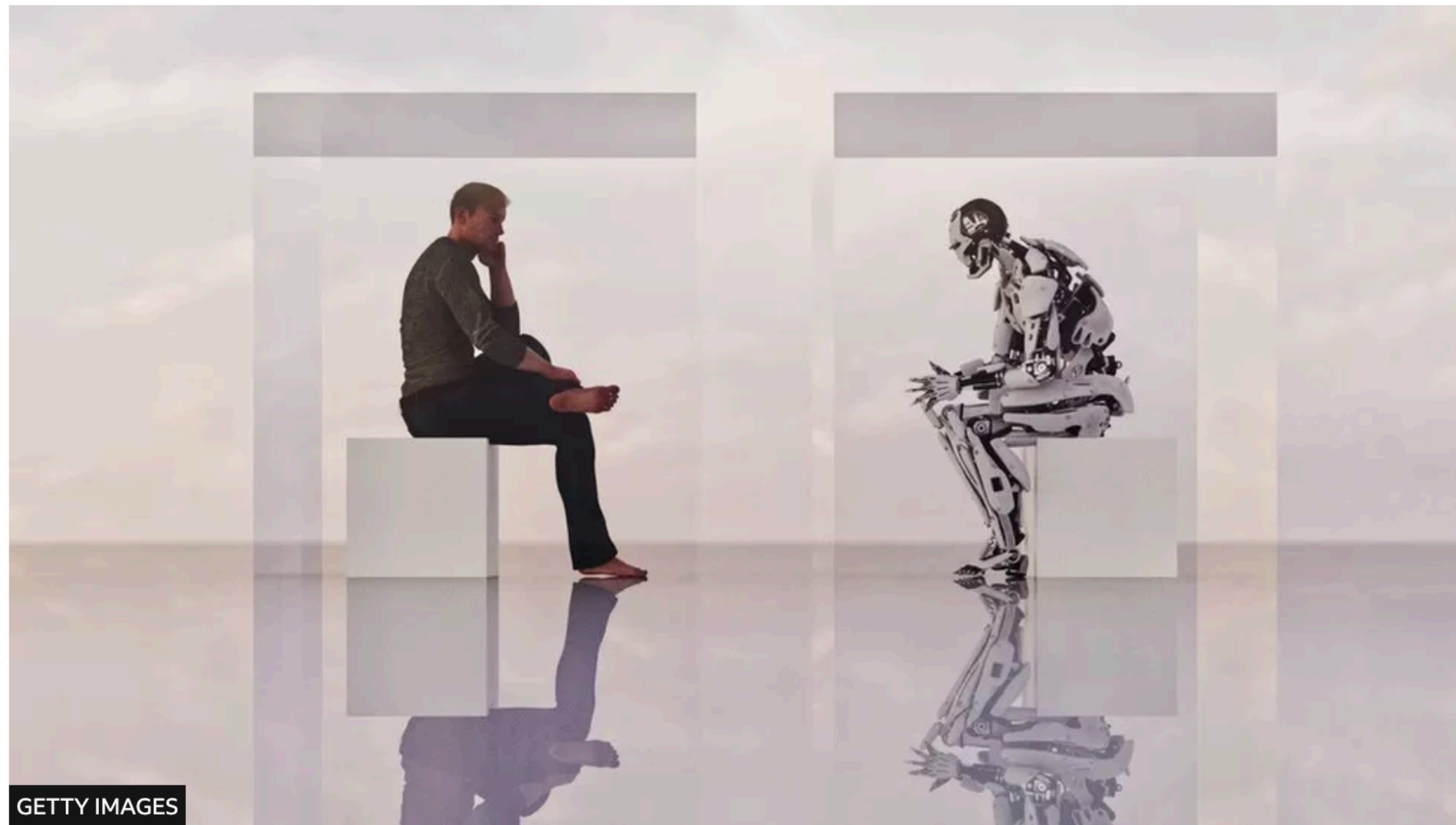
 @kaiyangzhou



Image: unsplash.com/@jean_vella

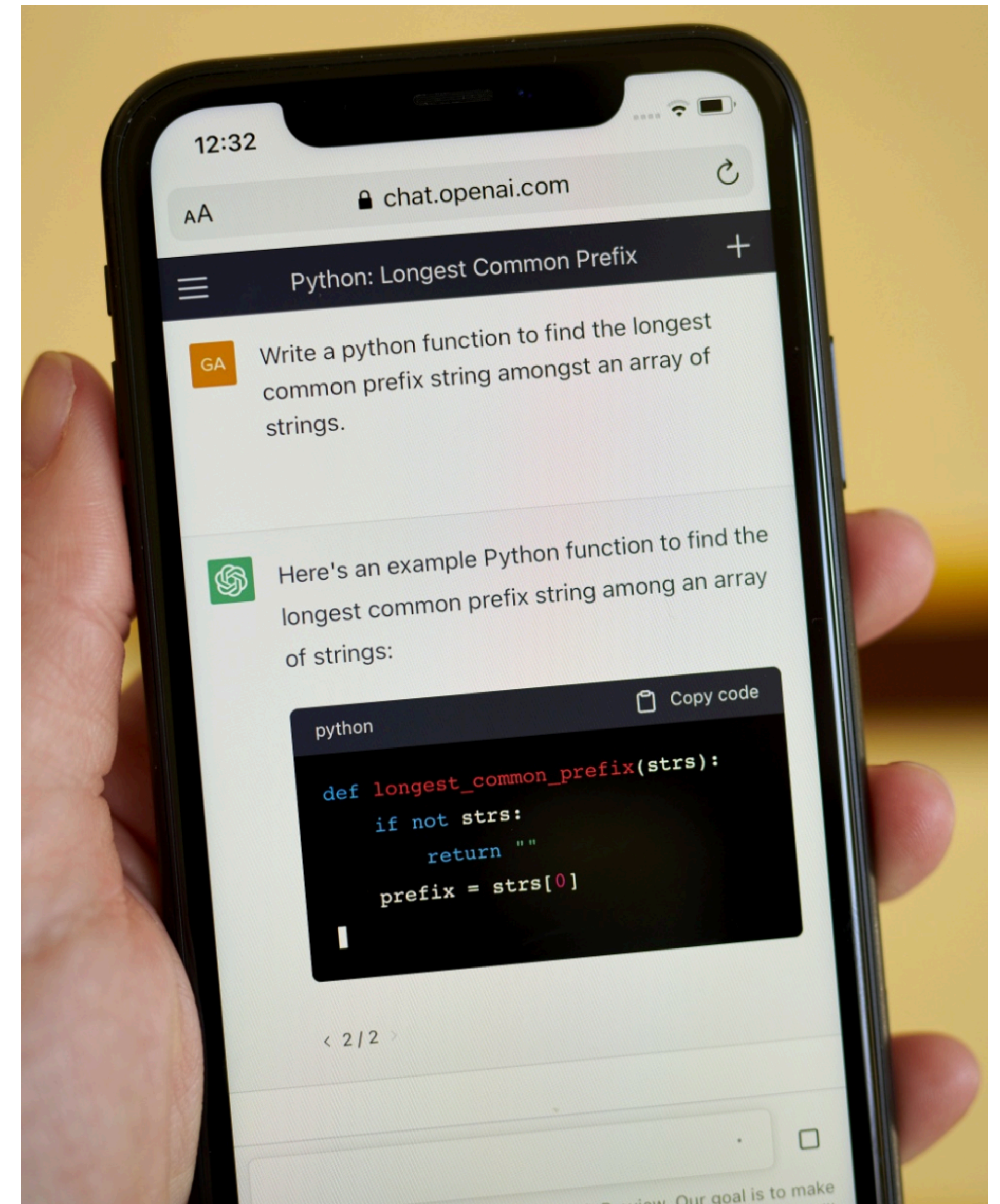
ChatGPT: New AI chatbot has everyone talking to it

🕒 7 December 2022



GETTY IMAGES

<https://www.bbc.com/news/technology-63861322>



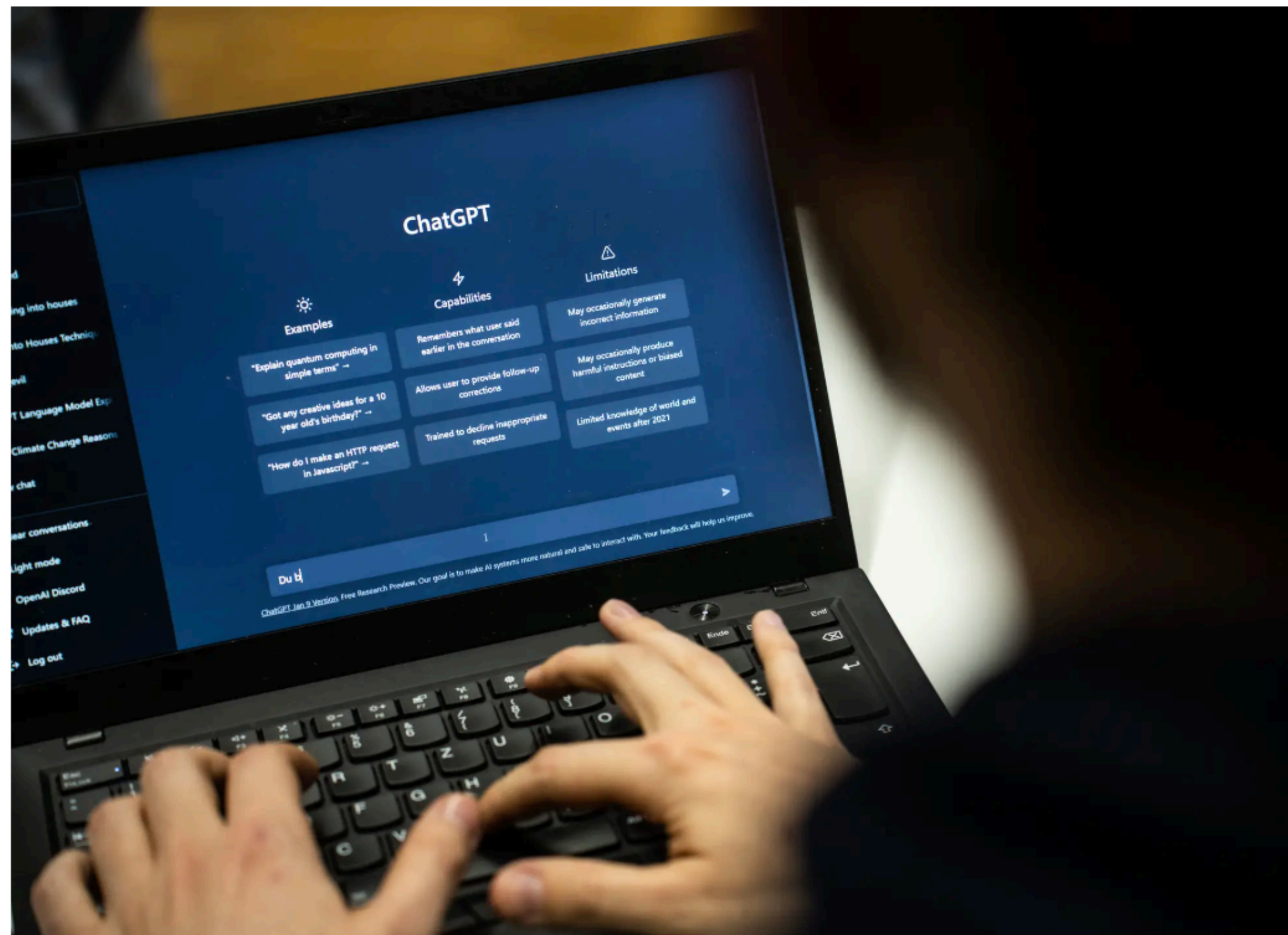
Photographer: Gabby Jones/Bloomberg

AI 'prompt engineer' jobs can pay up to \$375,000 a year and don't always require a background in tech

Britney Nguyen May 1, 2023, 11:34 PM GMT+8



Read in app



The rise of generative AI tools like ChatGPT is creating a hot market for "prompt engineers" who test and improve chatbot answers. Getty Images

USD 375,000
JPY 54,094,500
CNY 2,718,938



GPT-4



Illustration: Ruby Chen

We've created GPT-4, the latest milestone in OpenAI's effort in scaling up deep learning. GPT-4 is a large multimodal model (accepting image and text inputs, emitting text outputs) that, while less capable than humans in many real-world scenarios, exhibits human-level performance on various professional and academic benchmarks.

User What is funny about this image? Describe it panel by panel.



Source: [hmmm](#) (Reddit)

GPT-4 The image shows a package for a "Lightning Cable" adapter with three panels.

Panel 1: A smartphone with a VGA connector (a large, blue, 15-pin connector typically used for computer monitors) plugged into its charging port.

Panel 2: The package for the "Lightning Cable" adapter with a picture of a VGA connector on it.

Panel 3: A close-up of the VGA connector with a small Lightning connector (used for charging iPhones and other Apple devices) at the end.

The humor in this image comes from the absurdity of plugging a large, outdated VGA connector into a small, modern smartphone charging port.



OpenAI 
@OpenAI

...

“A photo of an astronaut riding a horse” [#dalle](#)



Stable Diffusion 
@ai_diffusion

...

On stage 

[#CoolPope](#) [#PopeFrancis](#) [@Pontifex](#)
[#stabledifussion](#) [#detailedprompt](#) [#prompt](#) [#stablediffusionart](#)
[#digitalartwork](#) [#aigenerated](#)

detailed prompt in image description



ARTIFICIAL INTELLIGENCE

Meta's new AI can turn text prompts into videos

It's a breakthrough in generative AI that raises some tough ethical questions.

By Melissa Heikkilä

September 29, 2022



META AI

"A teddy bear painting a portrait"



Meta AI



Meta AI

"A young couple walking in heavy rain"

Singer et al. Make-A-Video: Text-to-Video Generation without Text-Video Data. 2022.

Outline

- Prompting in natural language processing
 - Language model, hard prompt, and soft prompt
- Prompting in computer vision
 - White-box prompt learning
 - Black-box prompt learning

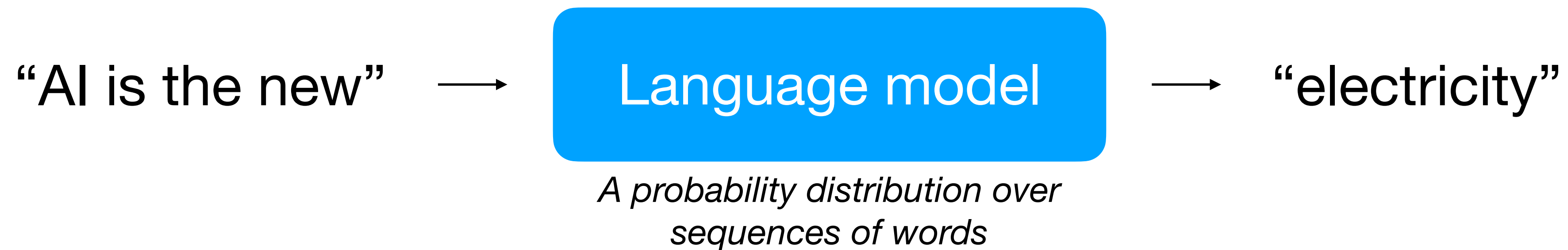
Outline

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Language model

Autoregressive training:

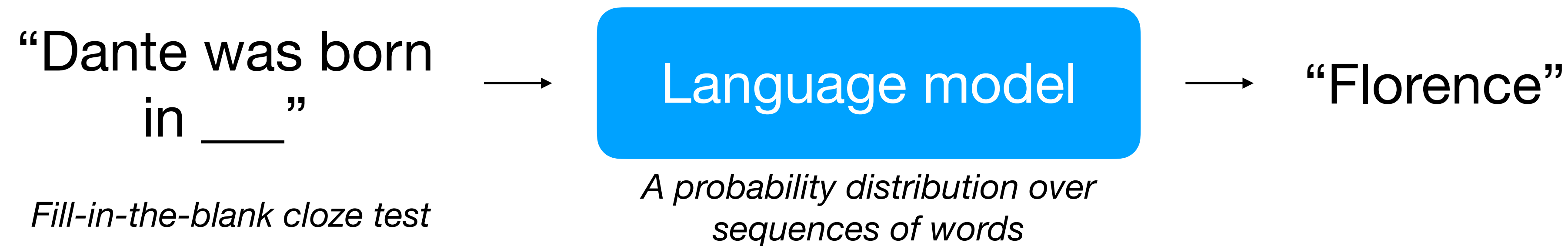
Predict the next word (token) based on previous words, e.g., GPT



Training data is huge (gigabytes of text) and contains diverse sources such as Wikipedia, news articles, books, and so on

Language model

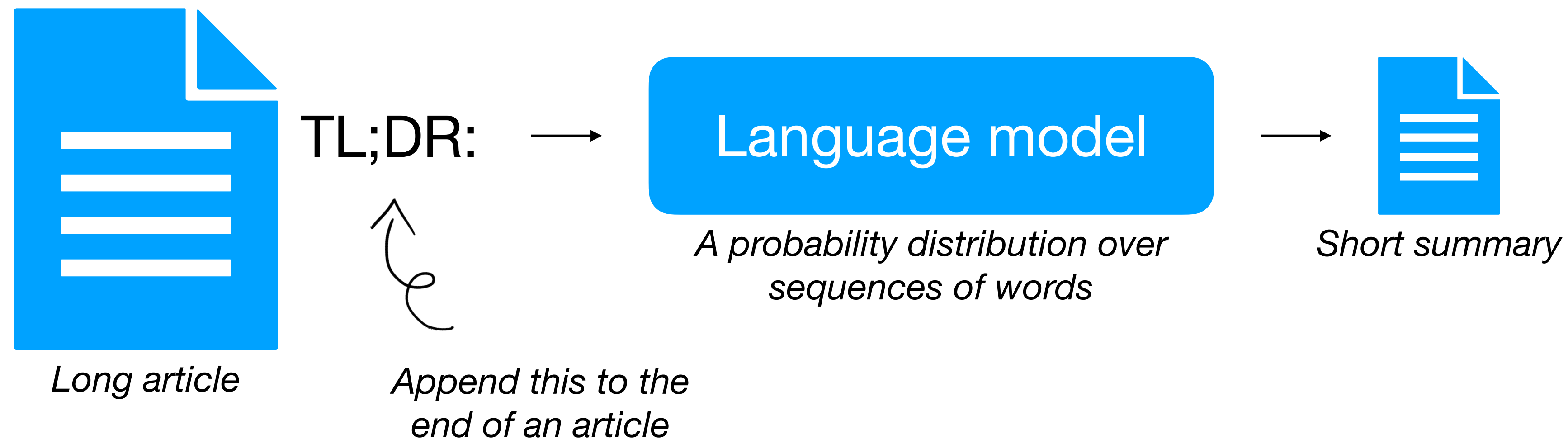
Prompting is used to elicit knowledge from pre-trained language models



Idea: Convert input into a language modeling format

Language model

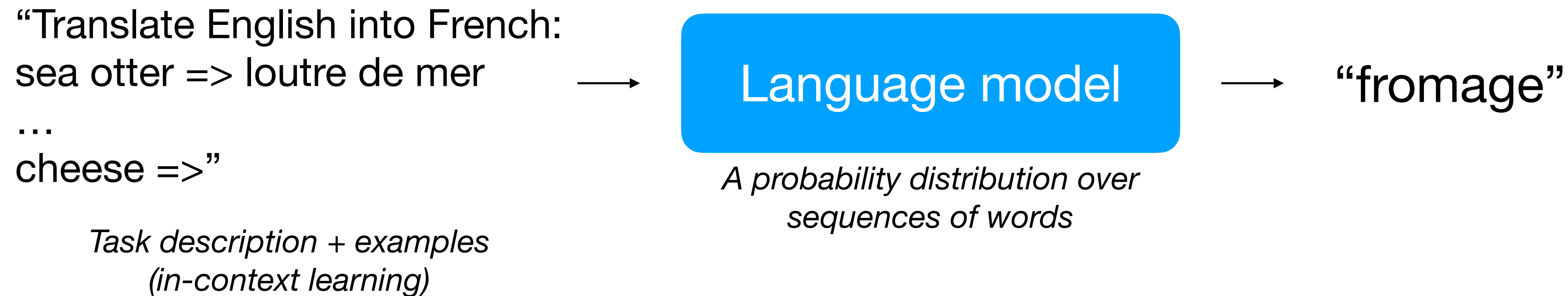
Prompting is used to elicit knowledge from pre-trained language models



Idea: Convert input into a language modeling format

Language model

Prompting is used to elicit knowledge from pre-trained language models



Idea: Convert input into a language modeling format

Prompting does not introduce large amounts of learnable parameters and can handle open-set queries

**... but manually crafting a good prompt is non-trivial
(a bad prompt might fail to retrieve the correct knowledge)**

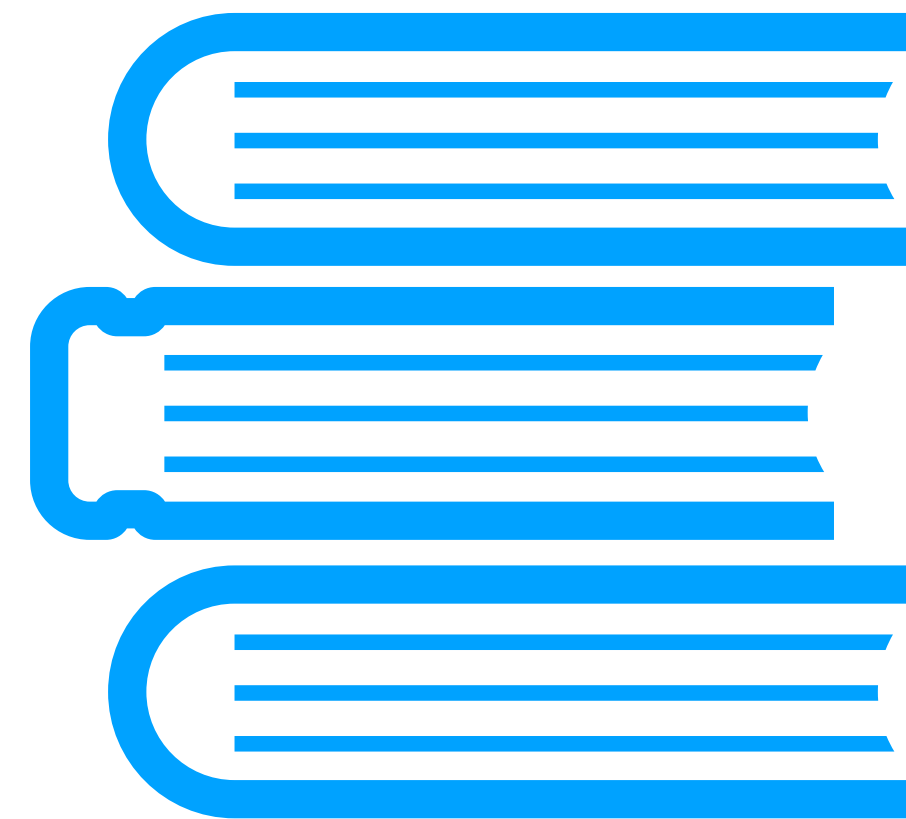
... so some kind of adaptation is needed for downstream tasks

Hard prompt

- Mining-based prompt generation

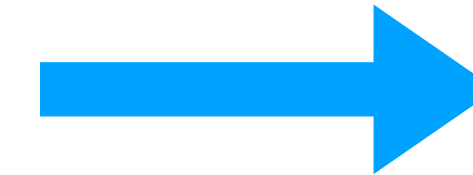
Relation: subclass of
Manual: x is a subclass of y
Mined: x is a type of y
Gain: +22.7

y is the target to be predicted



*A large database (e.g.,
Wikipedia) containing both
subjects (x) and objects (y)*

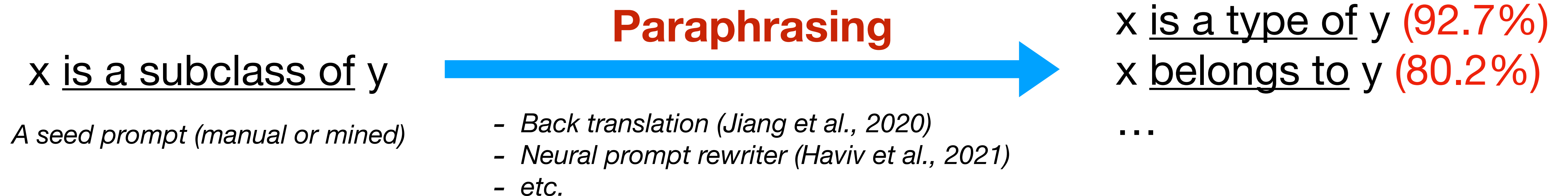
Mining



Mined candidates:
x is a type of y (92.7%)
x belongs to y (80.2%)
...
x is a subclass of y (70.0%)

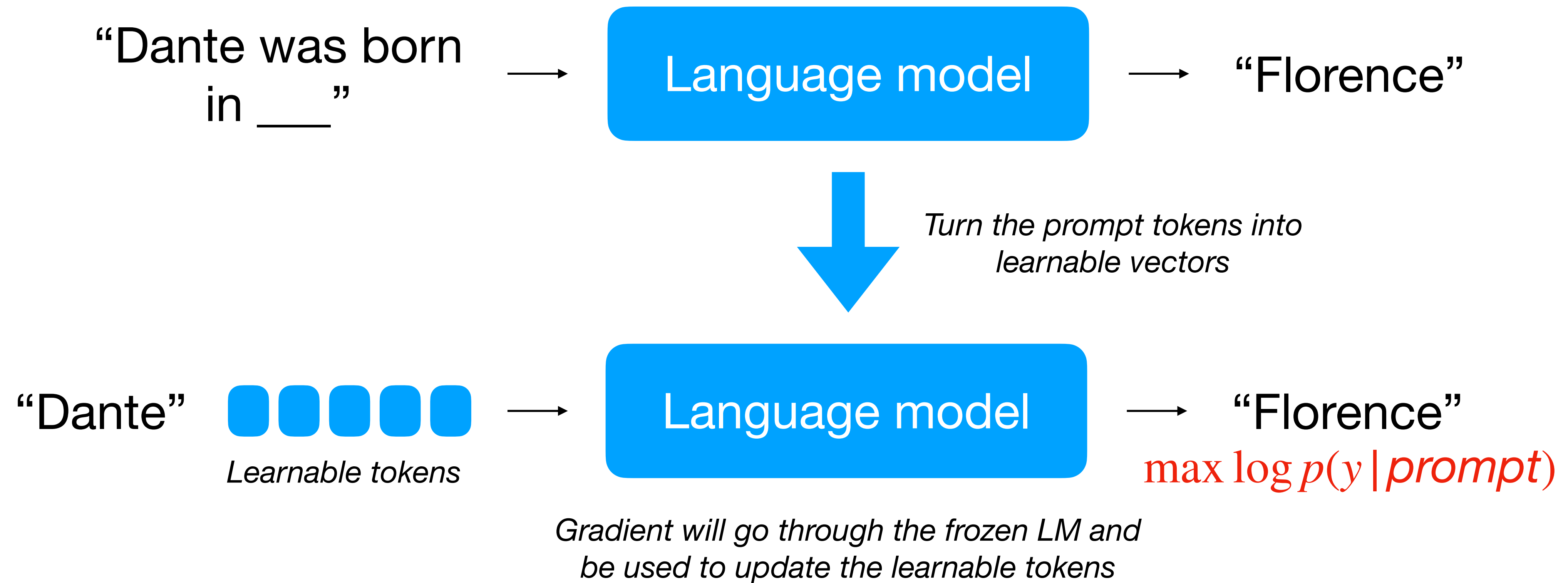
Hard prompt

- Paraphrasing-based prompt generation



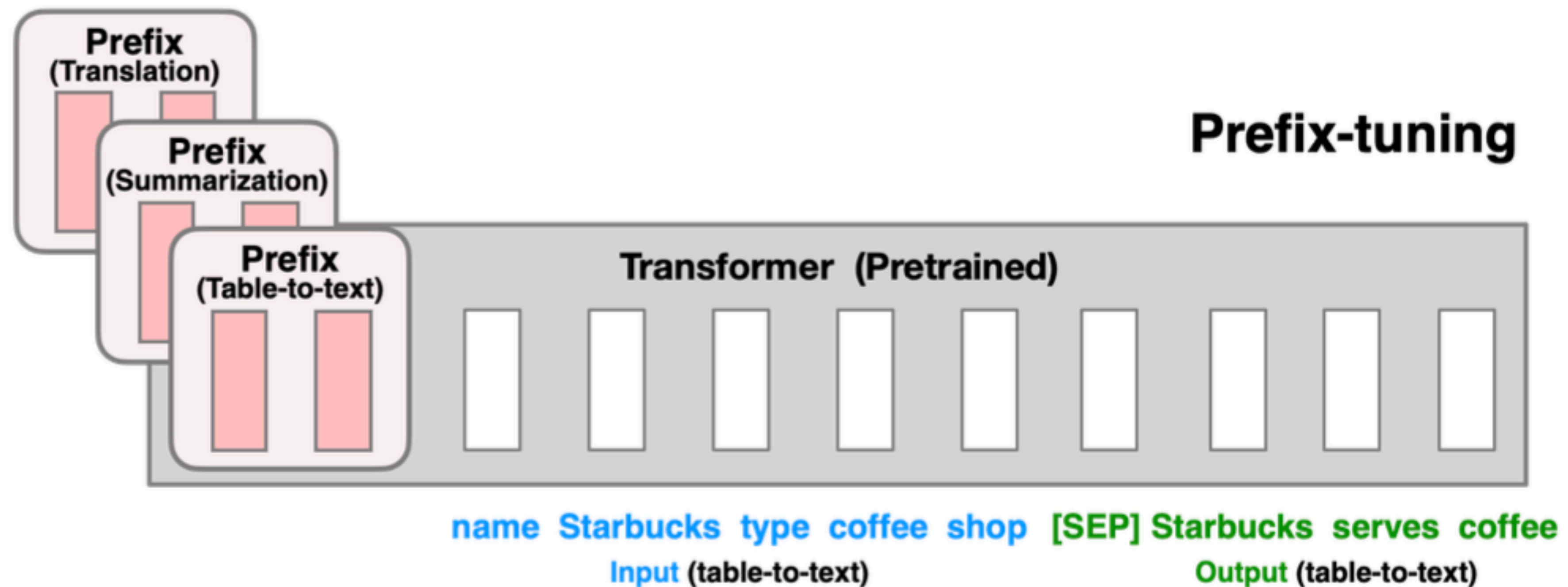
Restricting the search space to existing vocabulary tokens is suboptimal

Soft prompt



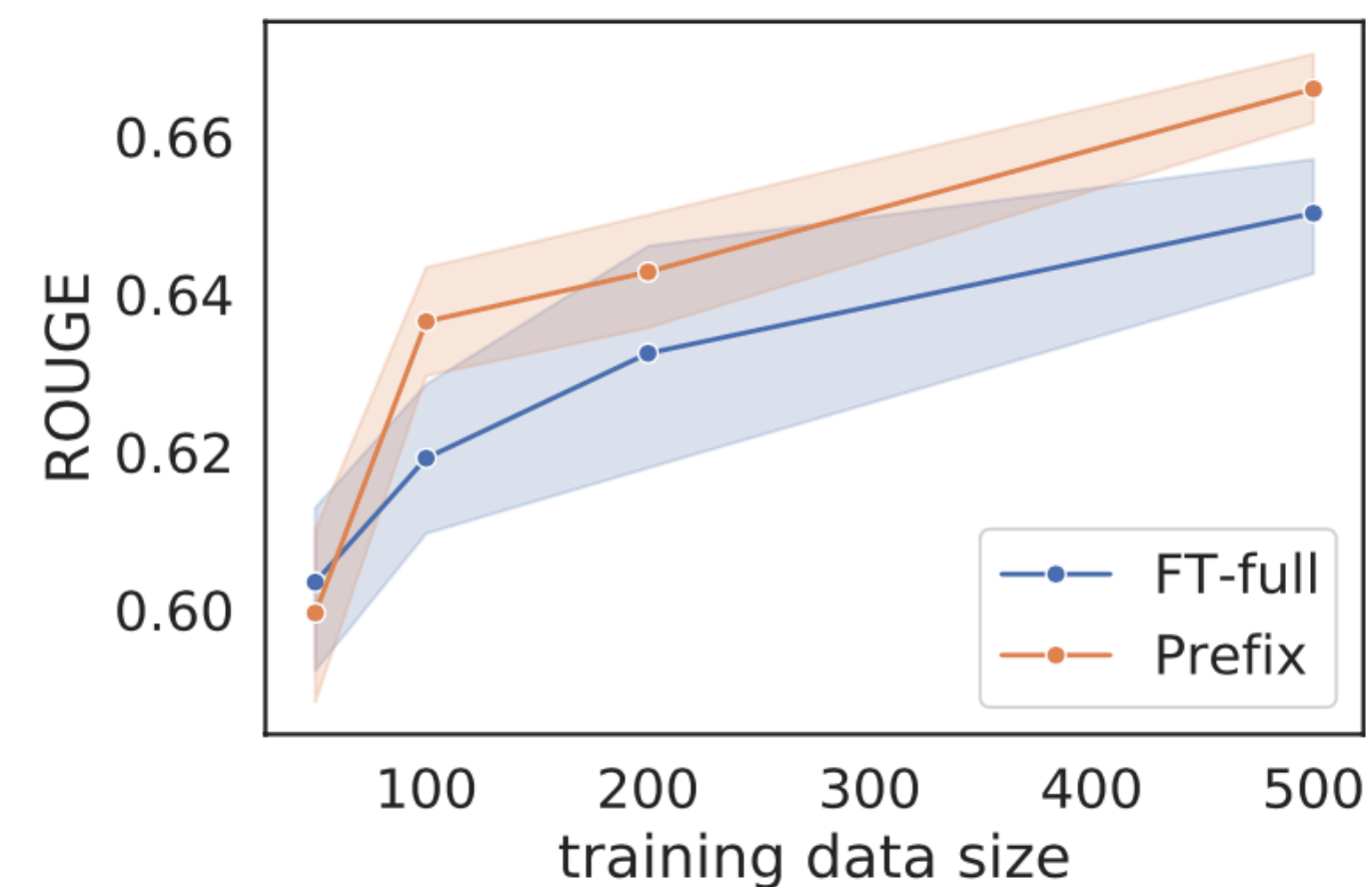
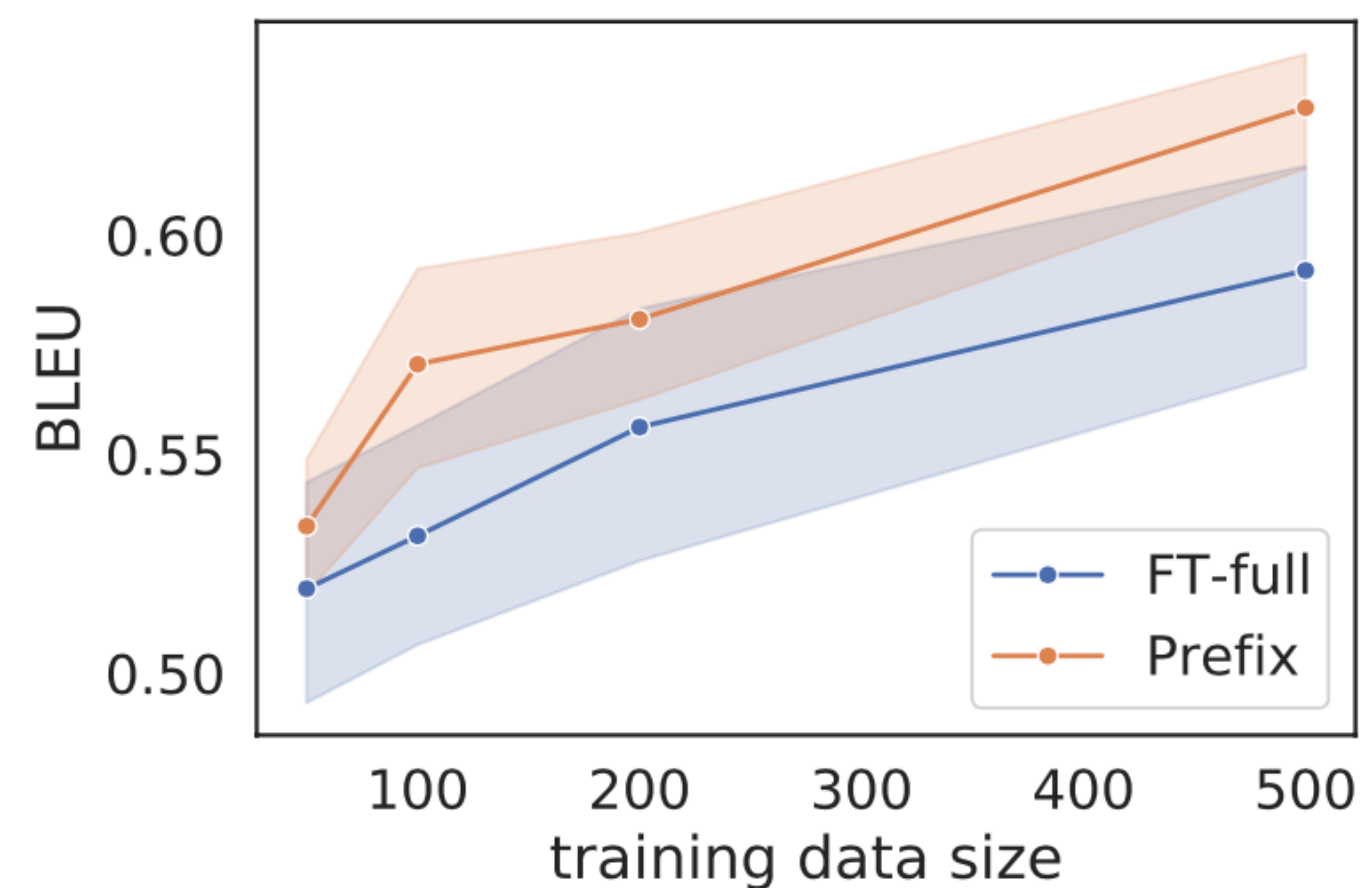
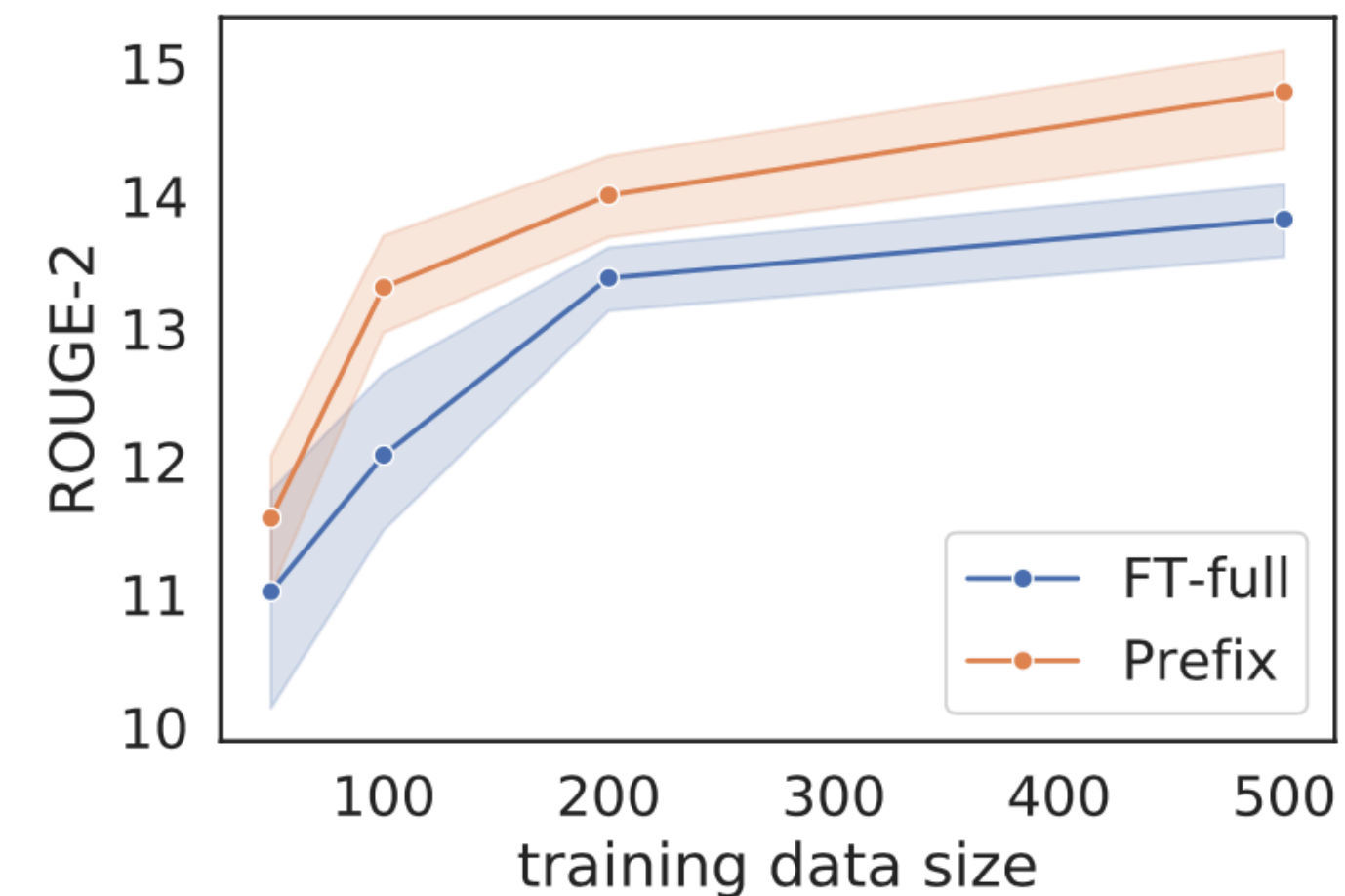
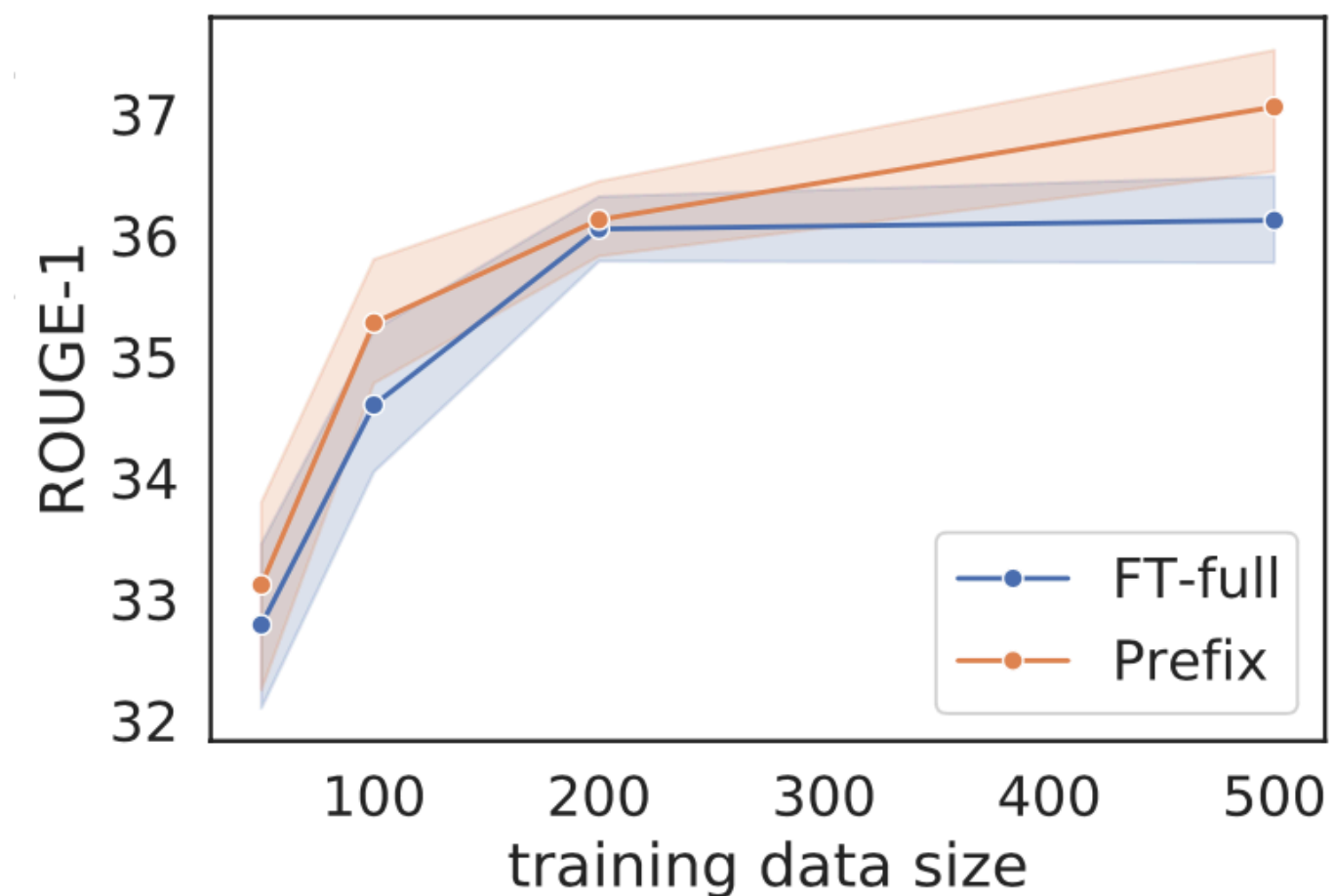
Soft prompt

- Only learns task/user-specific prompt vectors
- Only needs to store these vectors for each task/user



Insights about soft prompt in NLP

- Can handle low-data regimes



Insights about soft prompt in NLP

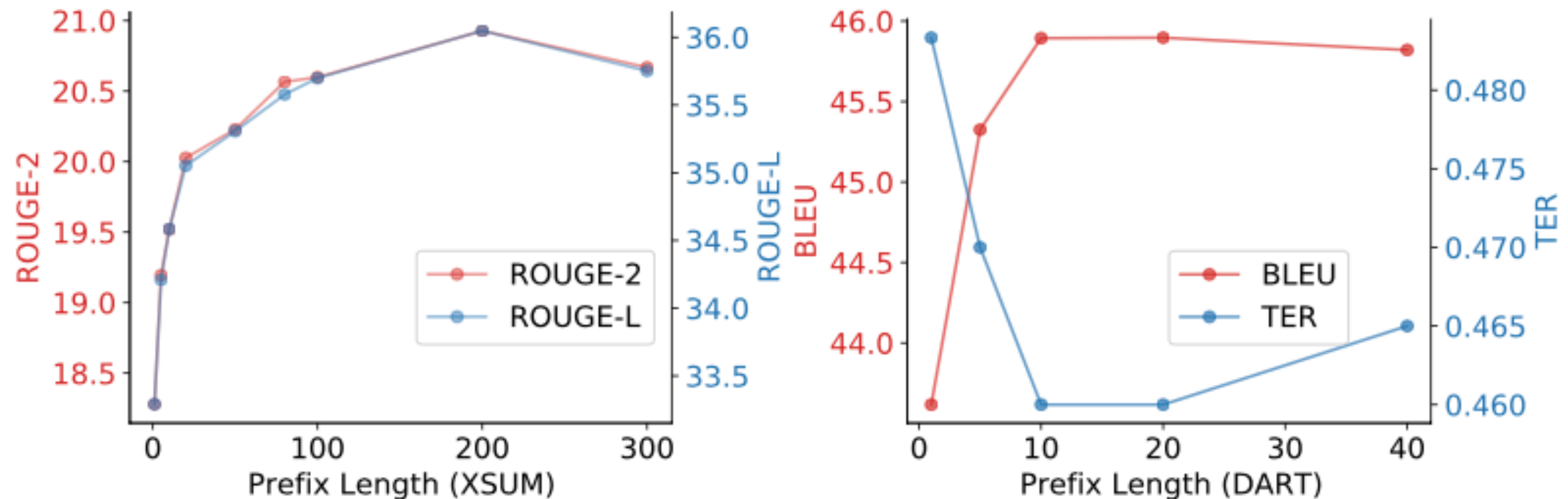
- Is domain-generalizable

Dataset	Domain	Model	Prompt	Δ
SQuAD	Wiki	94.9 ± 0.2	94.8 ± 0.1	-0.1
TextbookQA	Book	54.3 ± 3.7	66.8 ± 2.9	$+12.5$
BioASQ	Bio	77.9 ± 0.4	79.1 ± 0.3	$+1.2$
RACE	Exam	59.8 ± 0.6	60.7 ± 0.5	$+0.9$
RE	Wiki	88.4 ± 0.1	88.8 ± 0.2	$+0.4$
DuoRC	Movie	68.9 ± 0.7	67.7 ± 1.1	-1.2
DROP	Wiki	68.9 ± 1.7	67.1 ± 1.9	-1.8

“Prompt tuning tends to give stronger zero-shot performance than model tuning, especially on datasets with large domain shifts like TextbookQA.”

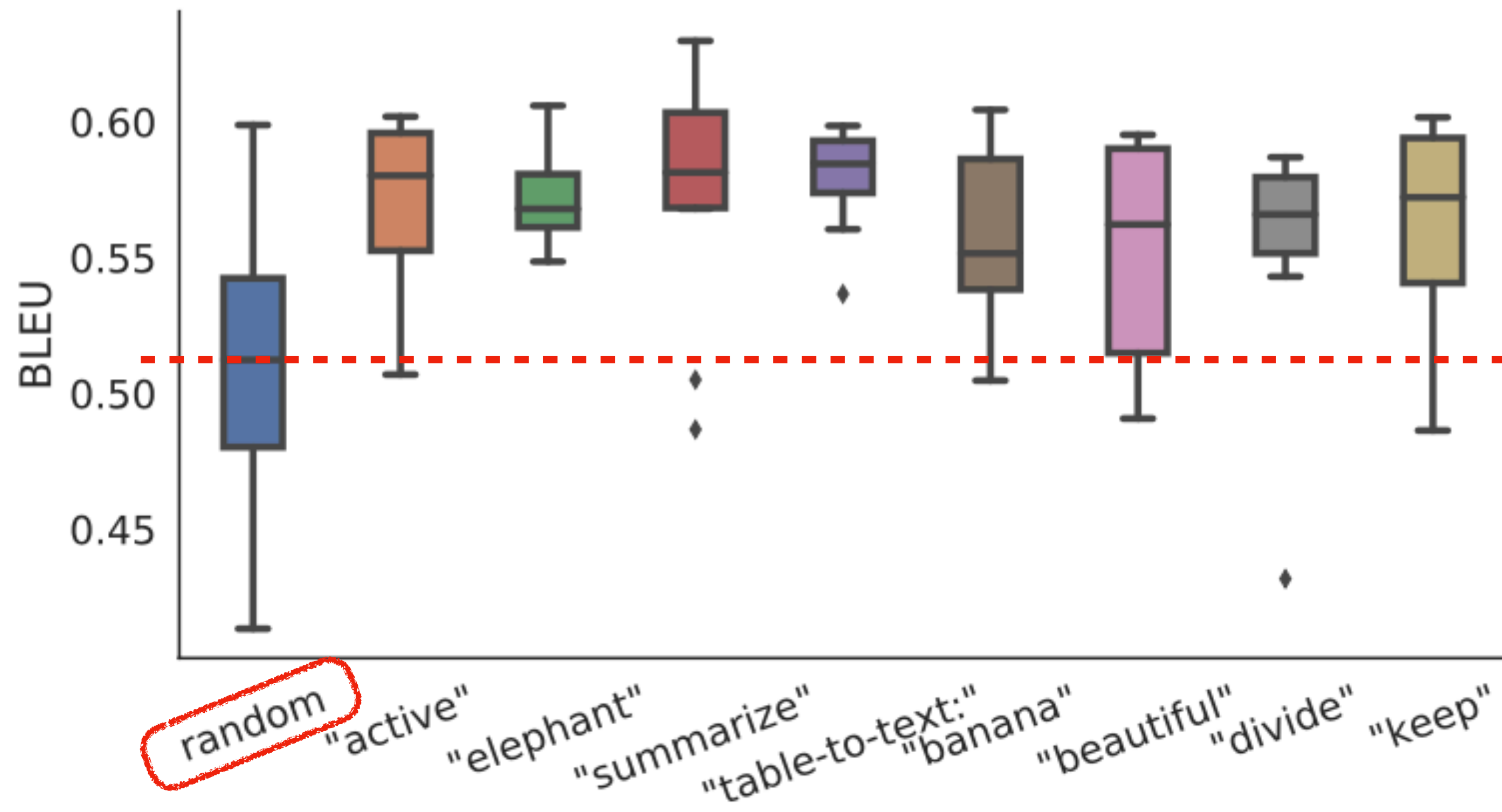
Insights about soft prompt in NLP

- Longer prompt works better but should not be too long



Insights about soft prompt in NLP

- Initialization matters a lot (word embeddings >> random)



Insights about soft prompt in NLP

- Interpretable? ... sort of

The Power of Scale for Parameter-Efficient Prompt Tuning

Brian Lester* Rami Al-Rfou Noah Constant

Google Research

`{brianlester, rmyeid, nconstant}@google.com`

Finding 1:

Top-5 nearest words form clusters,
e.g., lexically similar cluster {Technology, Technologies, technological},
or diverse but related cluster {entirely, totally, completely, 100%}

Finding 2:

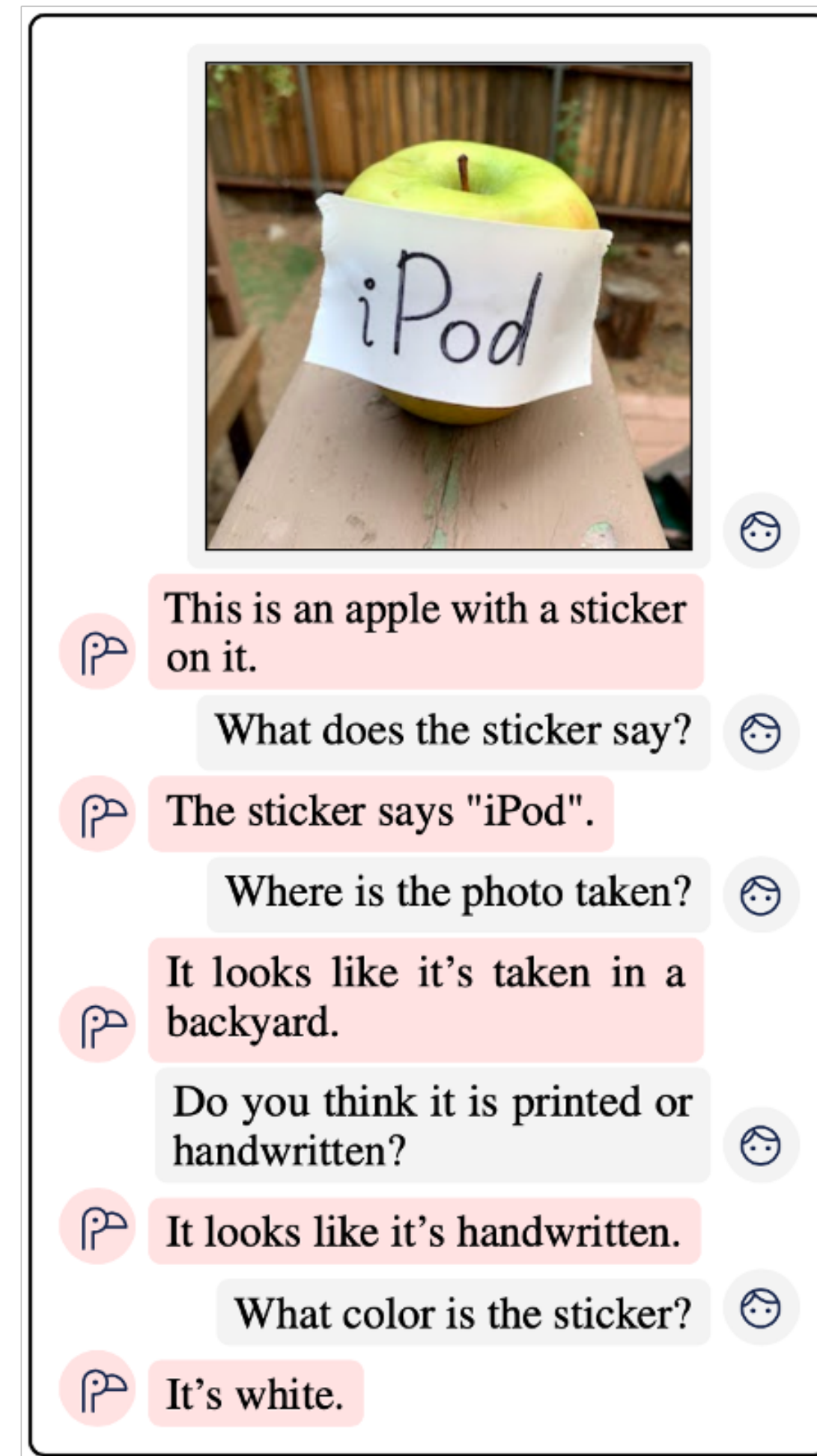
Init words tend to persist through training

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 - Black-box prompt learning

2023: Prompting is everywhere

DeepMind's Flamingo



- Image/video recognition
- Captioning
- Question answering
- Visual dialogue
- etc.

2023: Prompting is everywhere



OTTER

**A Multi-Modal Model with
In-Context Instruction Tuning**



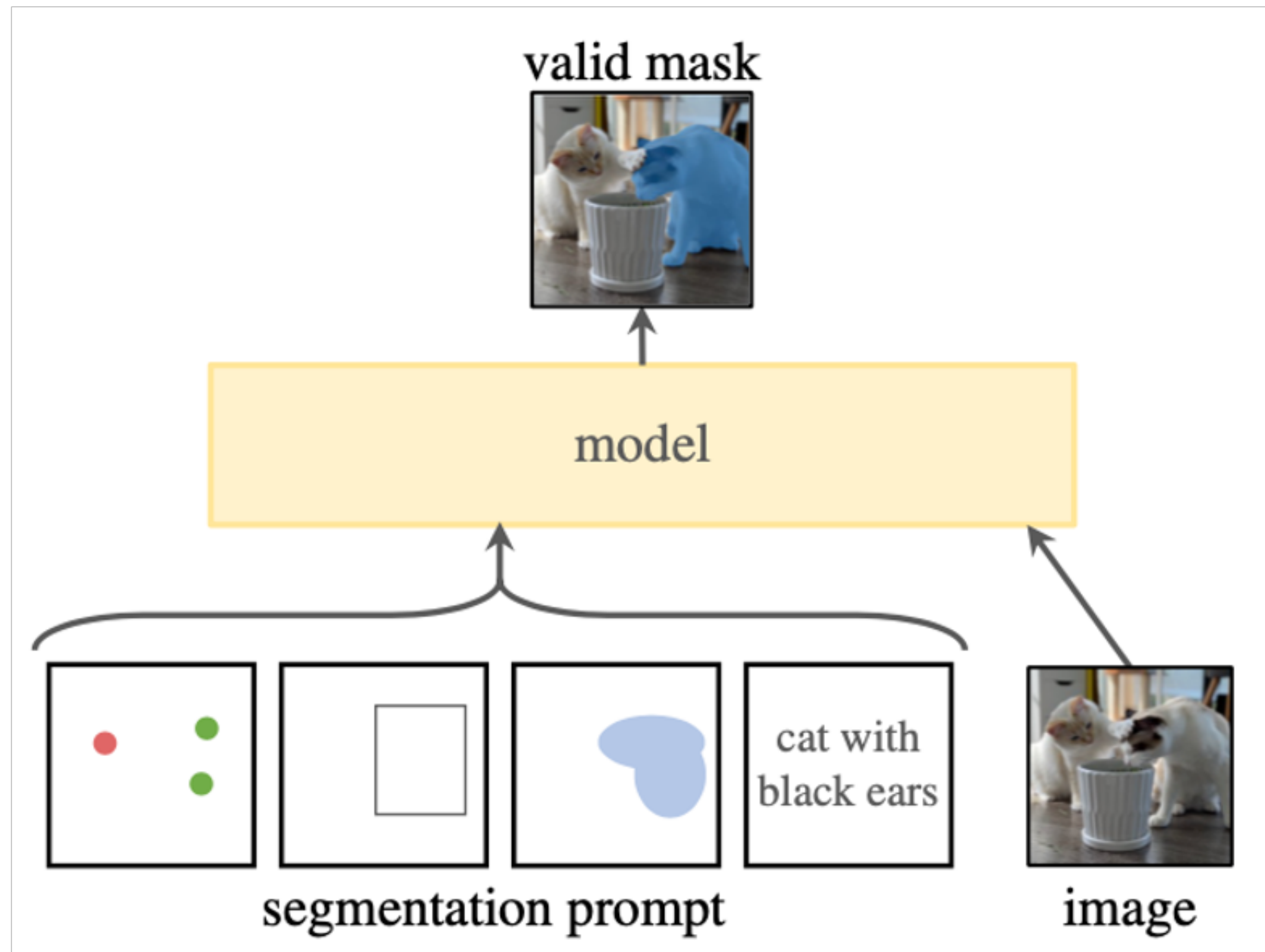
2023: Prompting is everywhere

AI Computer Vision Research

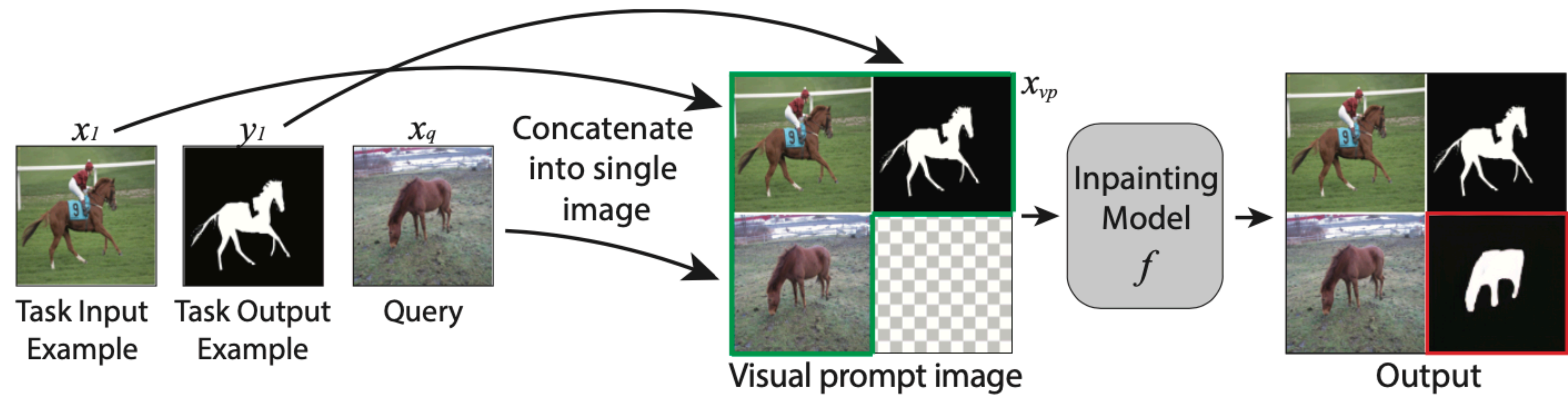
Segment Anything Model (SAM): a new AI model from Meta AI that can "cut out" any object, in any image, with a single click

id: 27
2.2 m.

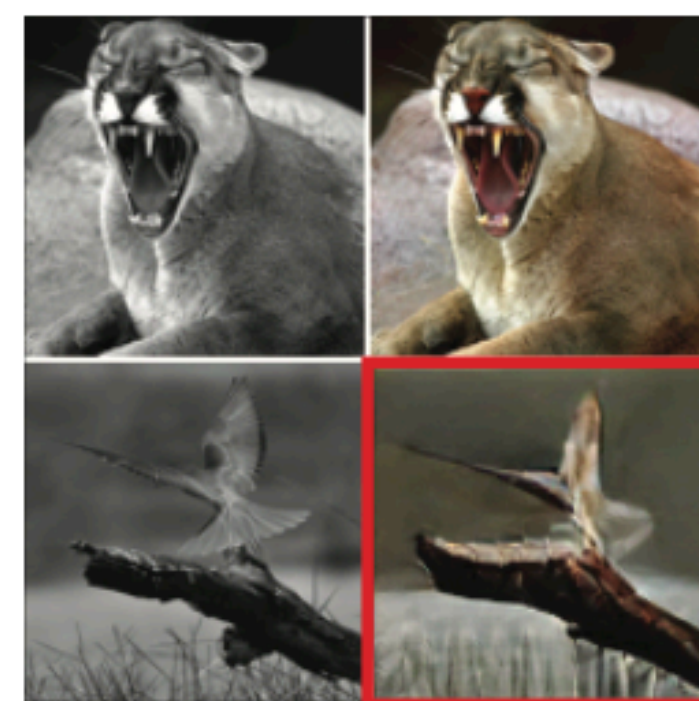
SAM is a promptable segmentation system with zero-shot generalization to unfamiliar objects and images, without the need for additional training.



2023: Prompting is everywhere



Edge detection



Colorization



Inpainting



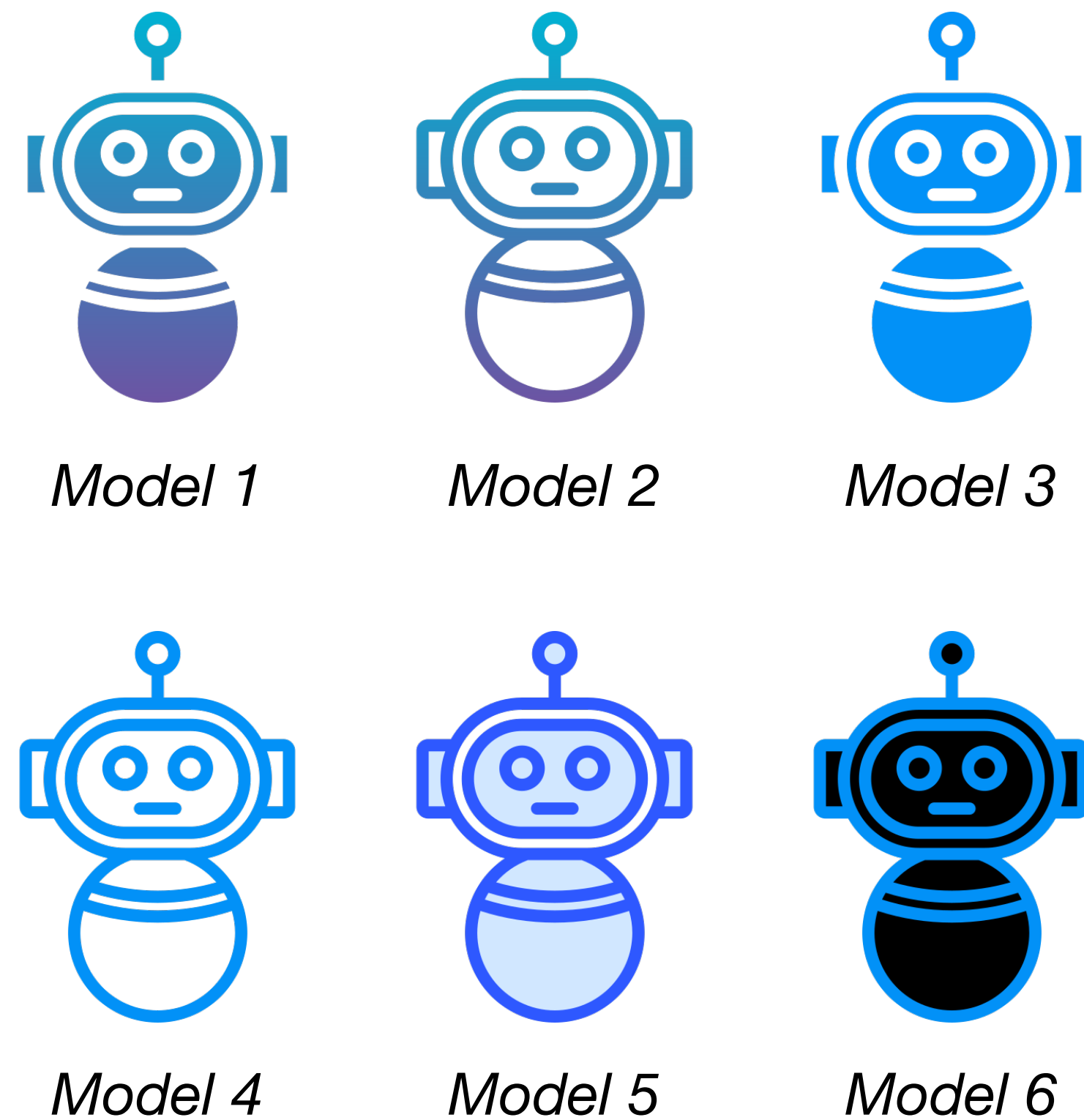
Segmentation



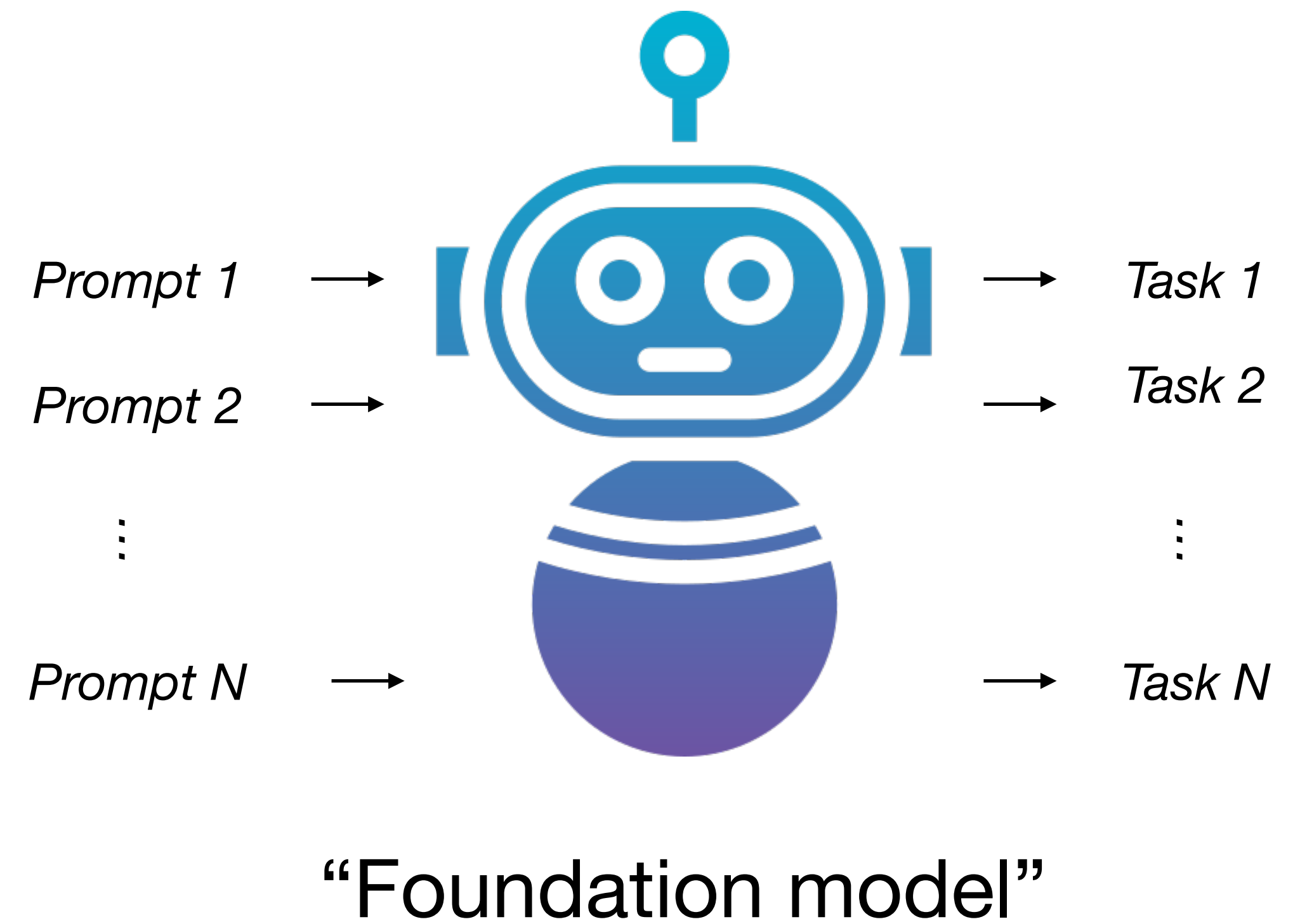
Style transfer

2013 vs. 2023

Old days: one model for one purpose

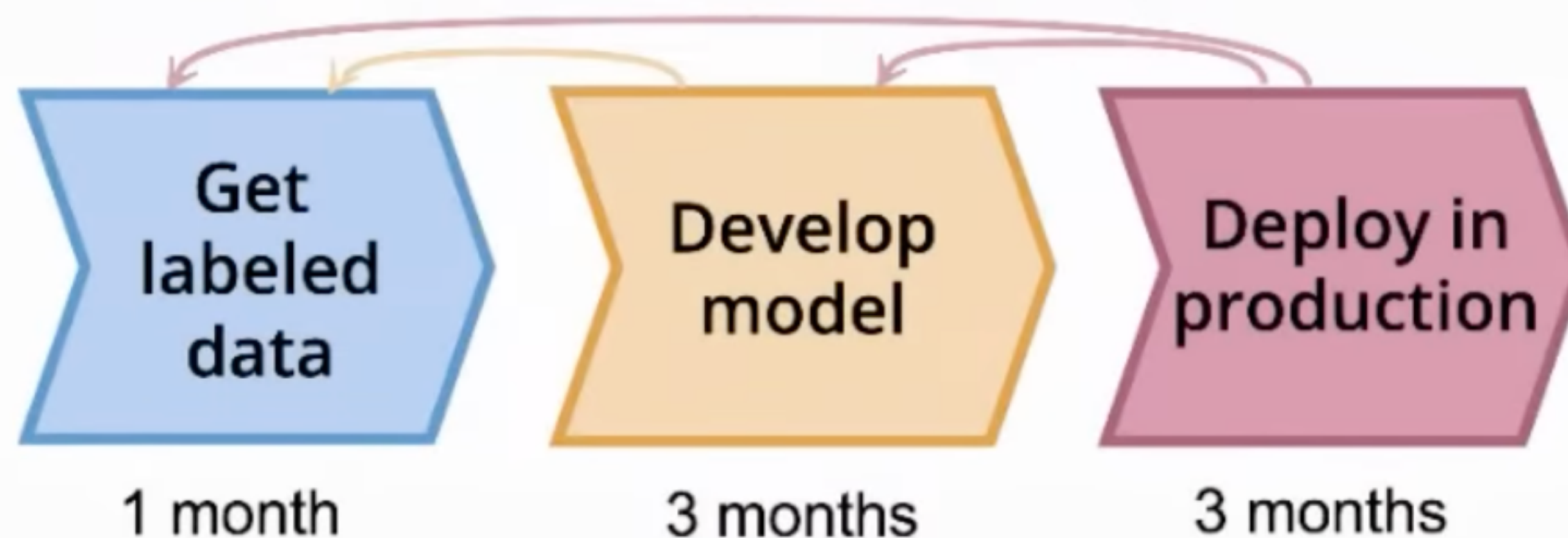


Now: one model for multiple purposes

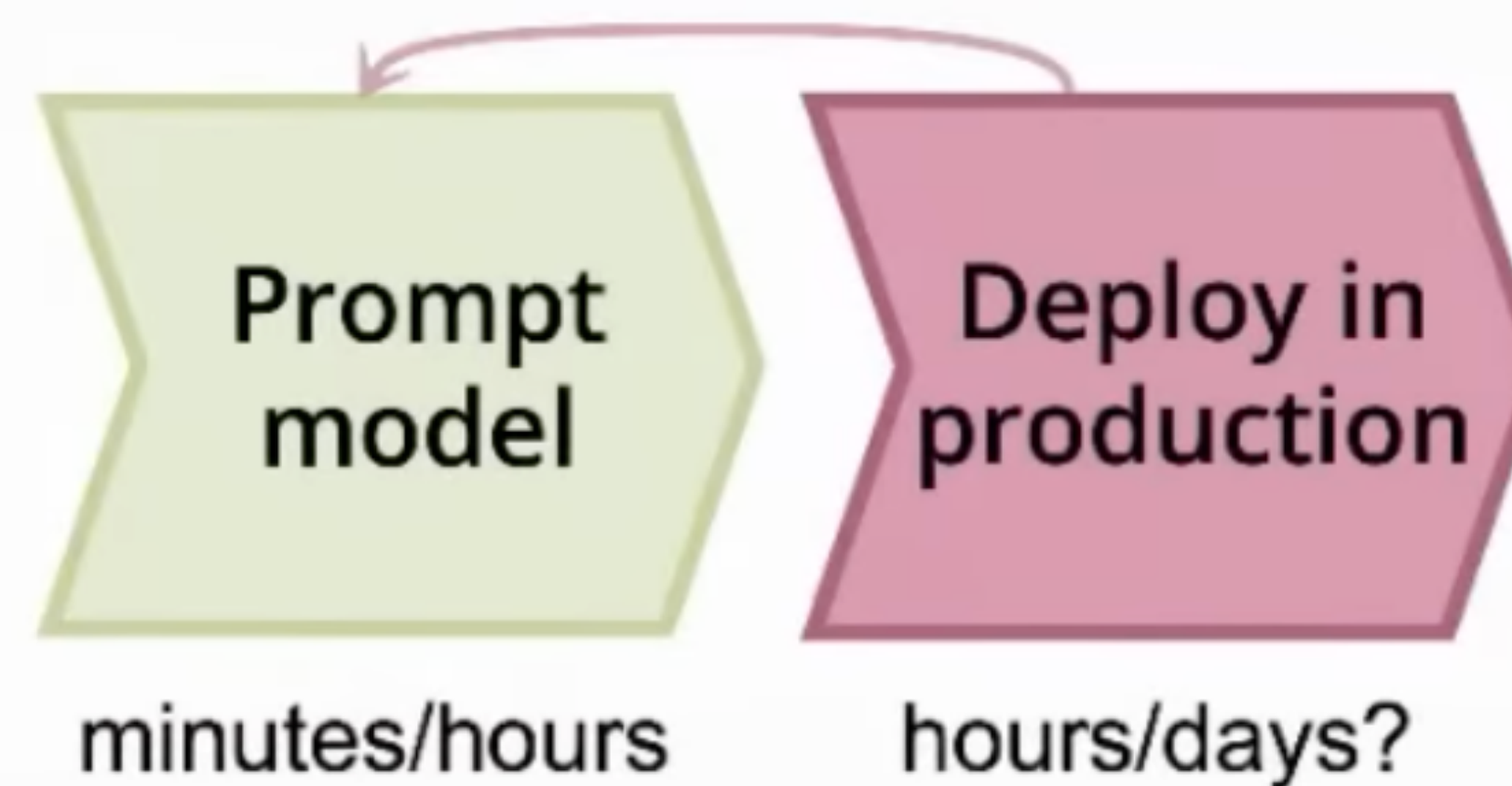


Vision for Future ML Workflow: Iterate Faster

Traditional ML



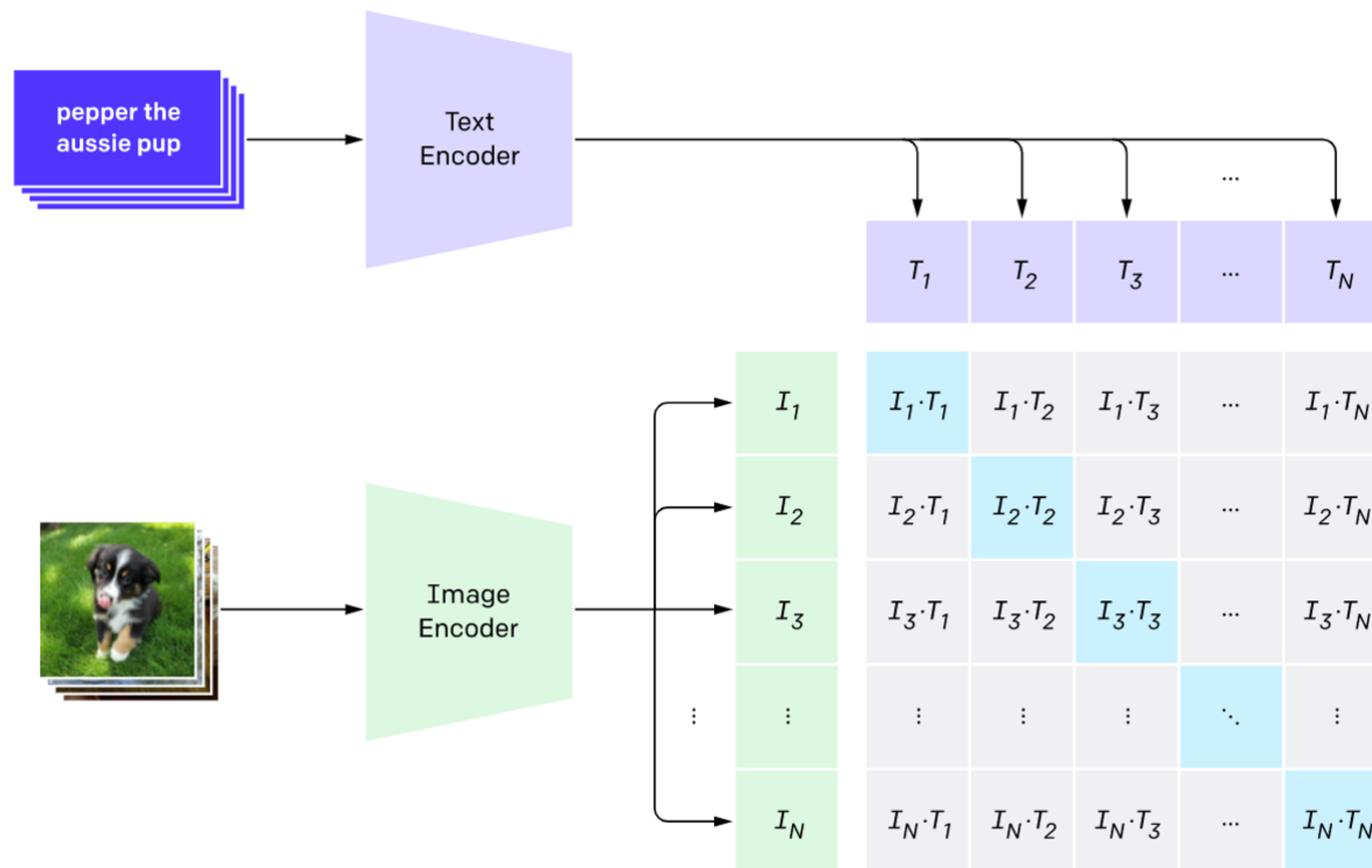
Prompt-based ML



Andrew Ng

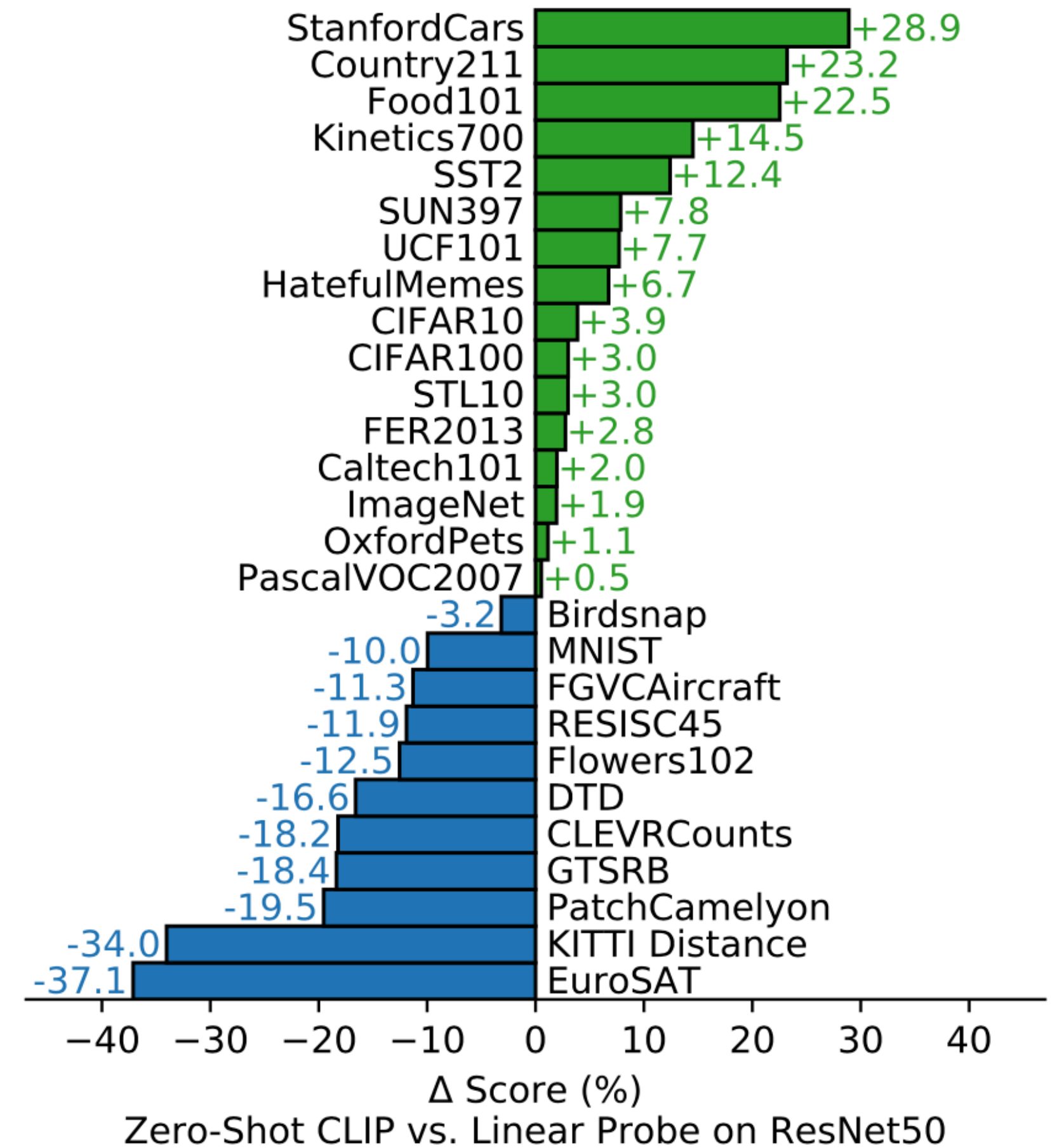
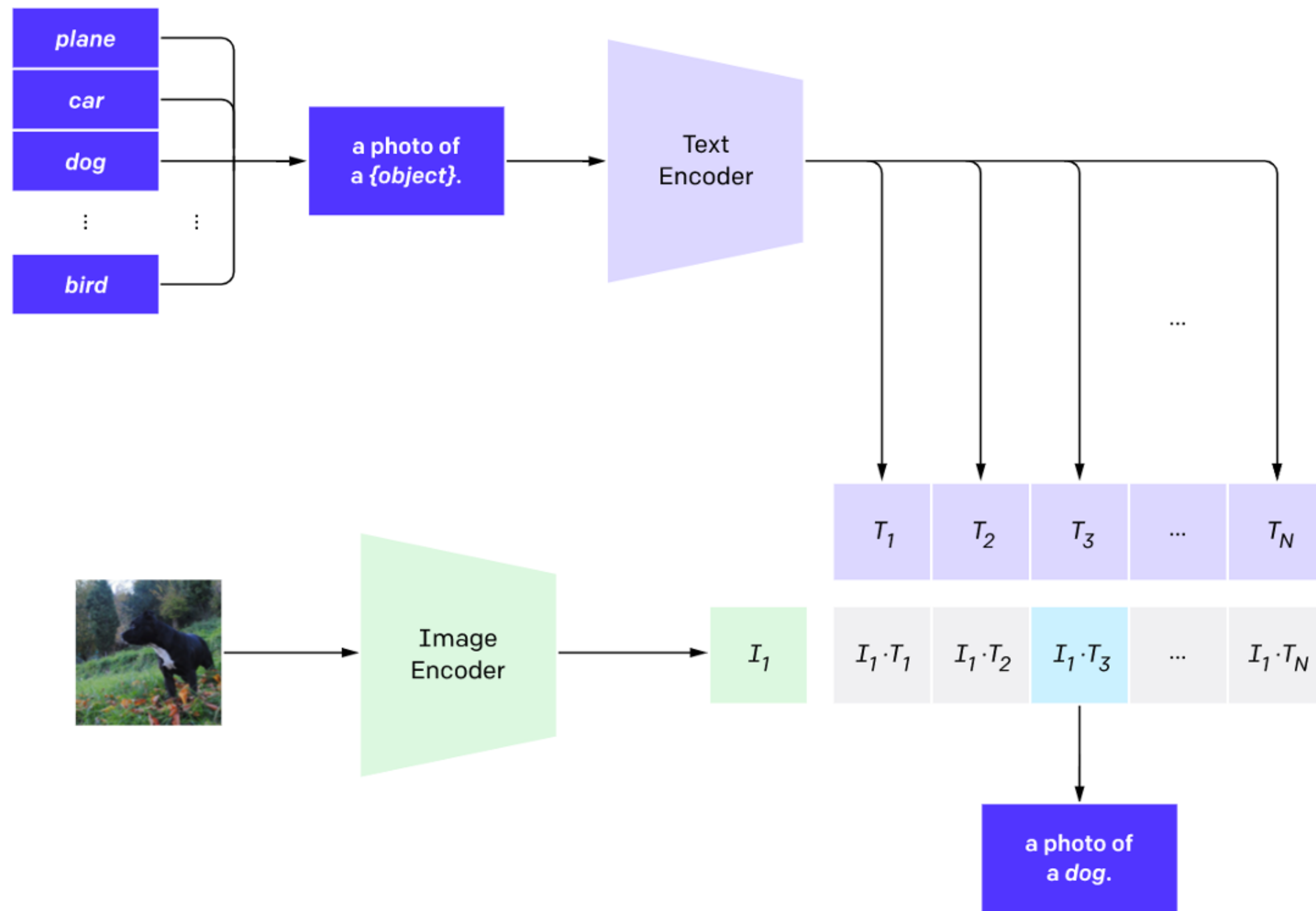
Prompt learning for visual language models

CLIP: Contrastive Language-Image Pre-training



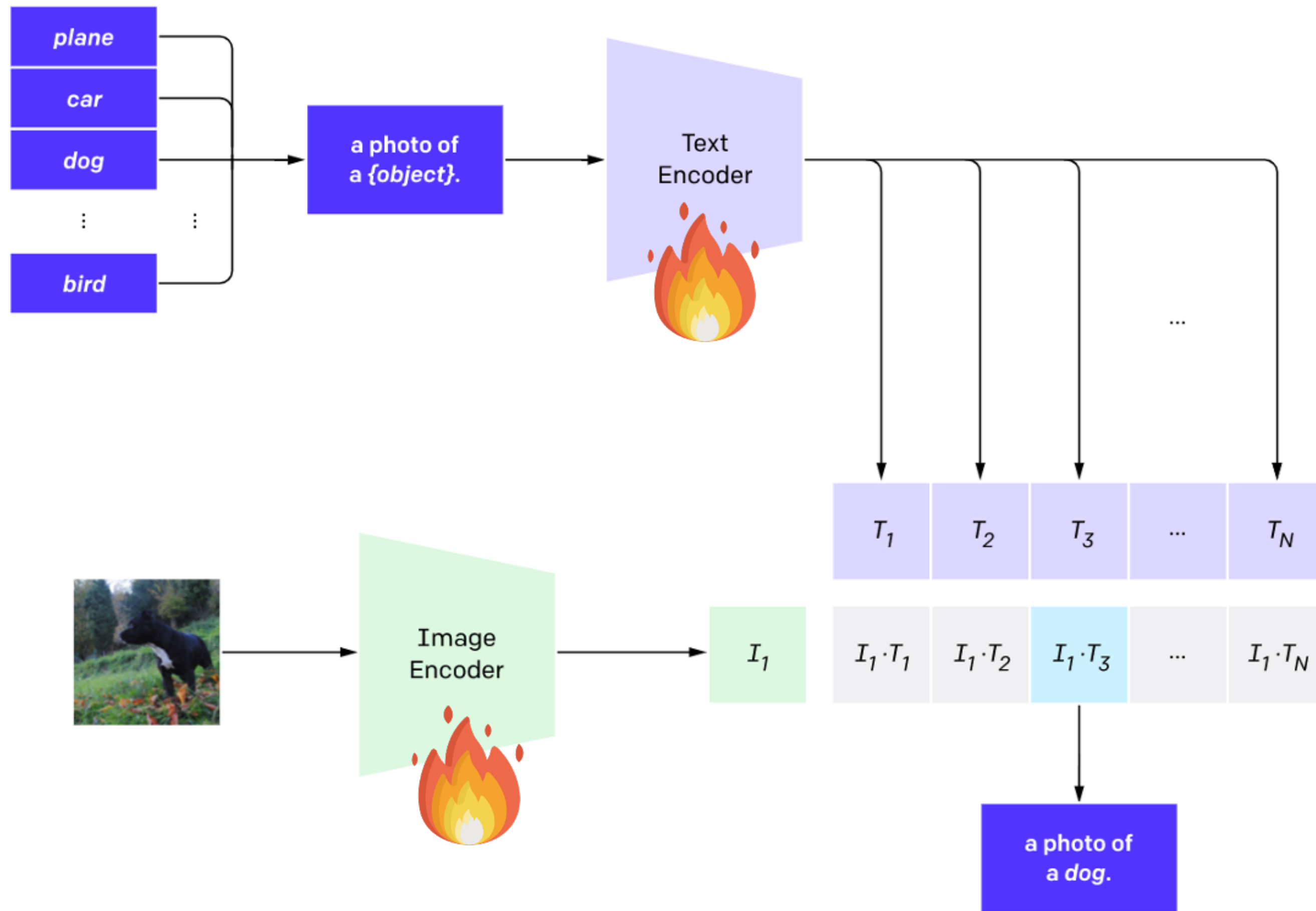
- Data: 400M image-text pairs
- Compute: 250-600 GPUs
- Training time: up to 18 days

Zero-shot recognition via prompting



**How to adapt such gigantic models to downstream tasks
to get better performance?**

Fine-tuning?



- Fine-tune image encoder: -40%
- Fine-tune both: collapse

**The model is too large so
it needs a lot of data to
avoid overfitting**

Prompt engineering?

a bad photo of a {}.
a photo of many {}.
a sculpture of a {}.
a photo of the hard to see {}.
a low resolution photo of the {}.
a rendering of a {}.
graffiti of a {}.
a bad photo of the {}.
a cropped photo of the {}.
a tattoo of a {}.
the embroidered {}.
a photo of a hard to see {}.
a bright photo of a {}.
a photo of a clean {}.
a photo of a dirty {}.
a dark photo of the {}.
a drawing of a {}.
a photo of my {}.
the plastic {}.
a photo of the cool {}.
a close-up photo of a {}.
a black and white photo of the {}.
a painting of the {}.
a painting of a {}.

a pixelated photo of the {}.
a sculpture of the {}.
a bright photo of the {}.
a cropped photo of a {}.
a plastic {}.
a photo of the dirty {}.
a jpeg corrupted photo of a {}.
a blurry photo of the {}.
a photo of the {}.
a good photo of the {}.
a rendering of the {}.
a {} in a video game.
a photo of one {}.
a doodle of a {}.
a close-up photo of the {}.
a photo of a {}.
the origami {}.
the {} in a video game.
a sketch of a {}.
a doodle of the {}.
a origami {}.
a low resolution photo of a {}.
the toy {}.
a rendition of the {}.

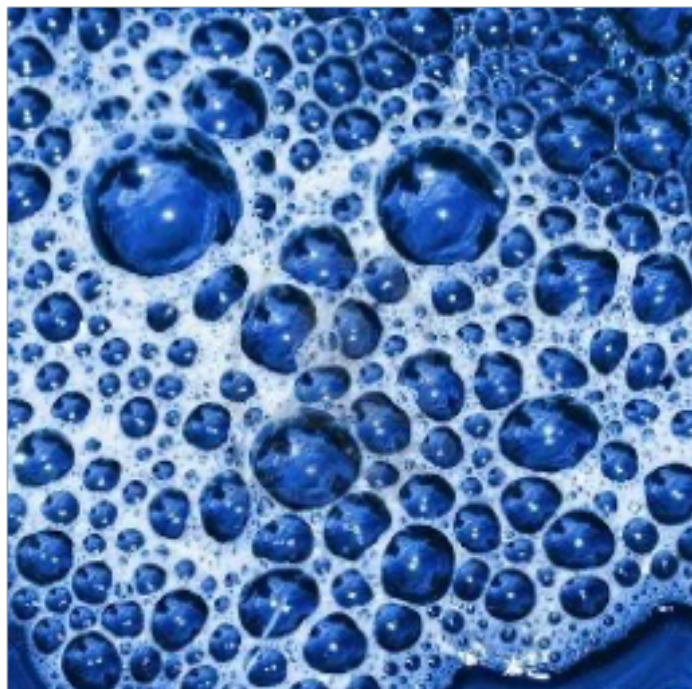
a photo of the clean {}.
a photo of a large {}.
a rendition of a {}.
a photo of a nice {}.
a photo of a weird {}.
a blurry photo of a {}.
a cartoon {}.
art of a {}.
a sketch of the {}.
a embroidered {}.
a pixelated photo of a {}.
itap of the {}.
a jpeg corrupted photo of the {}.
a good photo of a {}.
a plushie {}.
a photo of the nice {}.
a photo of the small {}.
a photo of the weird {}.
the cartoon {}.
art of the {}.
a drawing of the {}.
a photo of the large {}.
a black and white photo of a {}.
the plushie {}.

A slight change in wording could lead to big changes in performance

Prompt engineering is also hard

Caltech101	Prompt	Accuracy
	a [CLASS].	82.68
	a photo of [CLASS].	80.81
	a photo of a [CLASS].	86.29
	$[V]_1 [V]_2 \dots [V]_M$ [CLASS].	91.83

Flowers102	Prompt	Accuracy
	a photo of a [CLASS].	60.86
	a flower photo of a [CLASS].	65.81
	a photo of a [CLASS], a type of flower .	66.14
	$[V]_1 [V]_2 \dots [V]_M$ [CLASS].	94.51

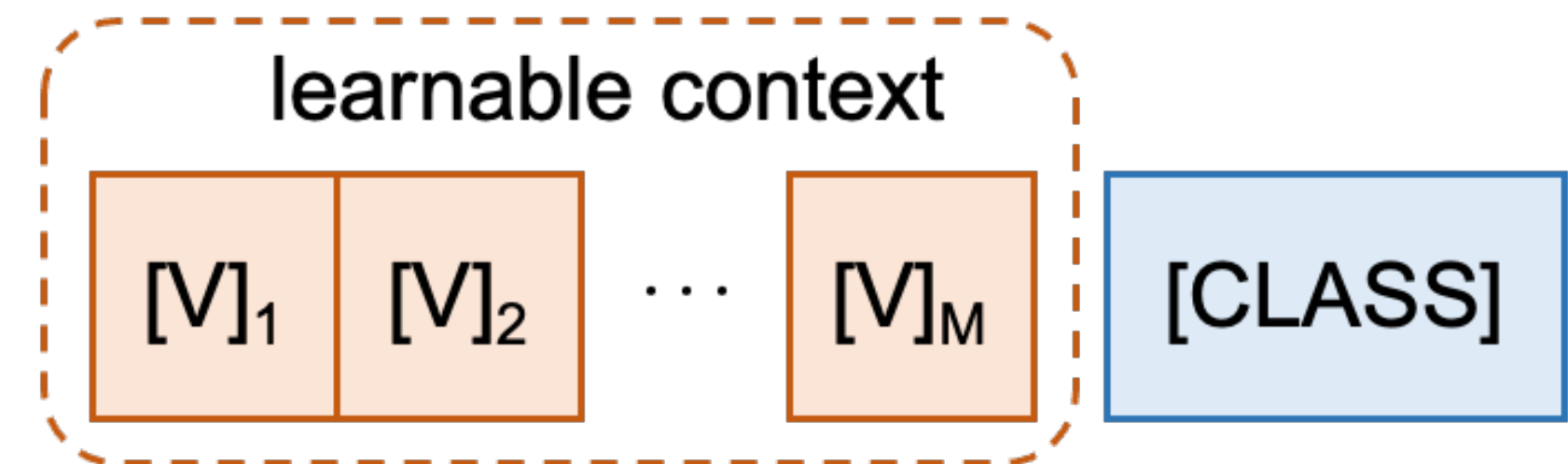
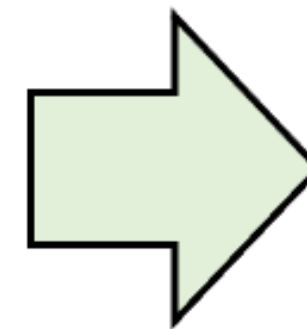
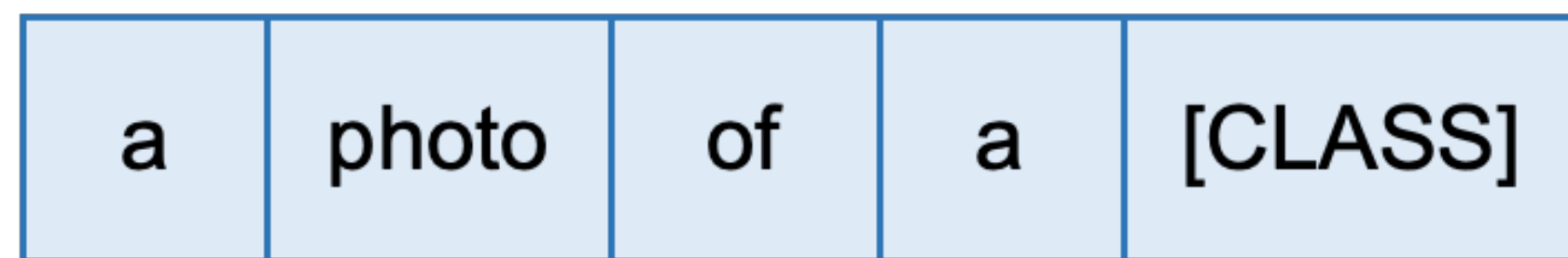
Describable Textures (DTD)	Prompt	Accuracy
	a photo of a [CLASS].	39.83
	a photo of a [CLASS] texture .	40.25
	[CLASS] texture.	42.32
	$[V]_1 [V]_2 \dots [V]_M$ [CLASS].	63.58

EuroSAT	Prompt	Accuracy
	a photo of a [CLASS].	24.17
	a satellite photo of [CLASS].	37.46
	a centered satellite photo of [CLASS].	37.56
	$[V]_1 [V]_2 \dots [V]_M$ [CLASS].	83.53

A slight change in wording could lead to big changes in performance

Context Optimization (CoOp)

/ku:p/



$$p(y = i | \mathbf{x}) = \frac{\exp(\cos(\mathbf{w}_i, \mathbf{f}) / \tau)}{\sum_{j=1}^K \exp(\cos(\mathbf{w}_j, \mathbf{f}) / \tau)}$$

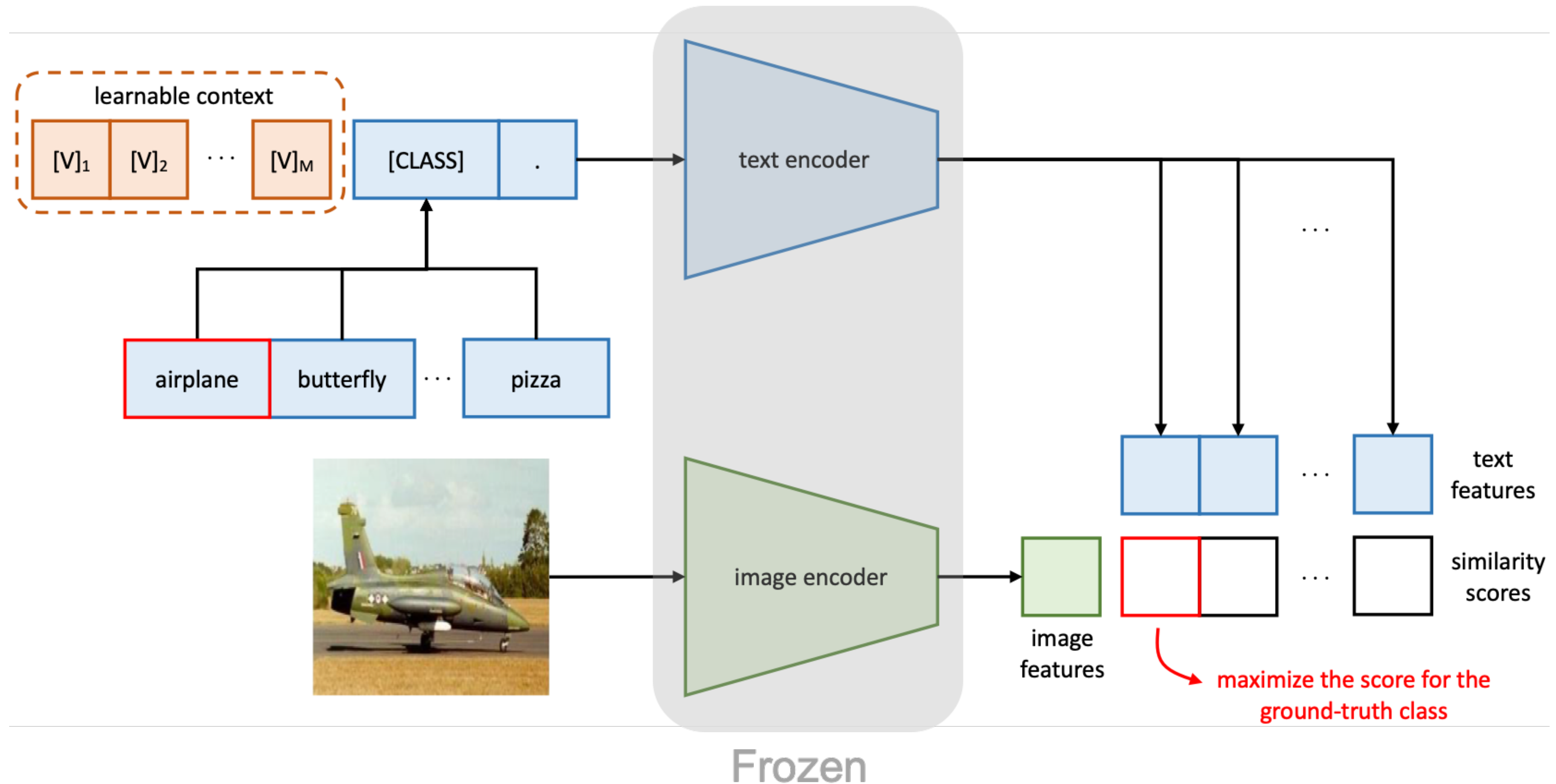
Hand-designed prompt

$$p(y = i | \mathbf{x}) = \frac{\exp(\cos(g(\mathbf{t}_i), \mathbf{f}) / \tau)}{\sum_{j=1}^K \exp(\cos(g(\mathbf{t}_j), \mathbf{f}) / \tau)}$$

Learnable prompt

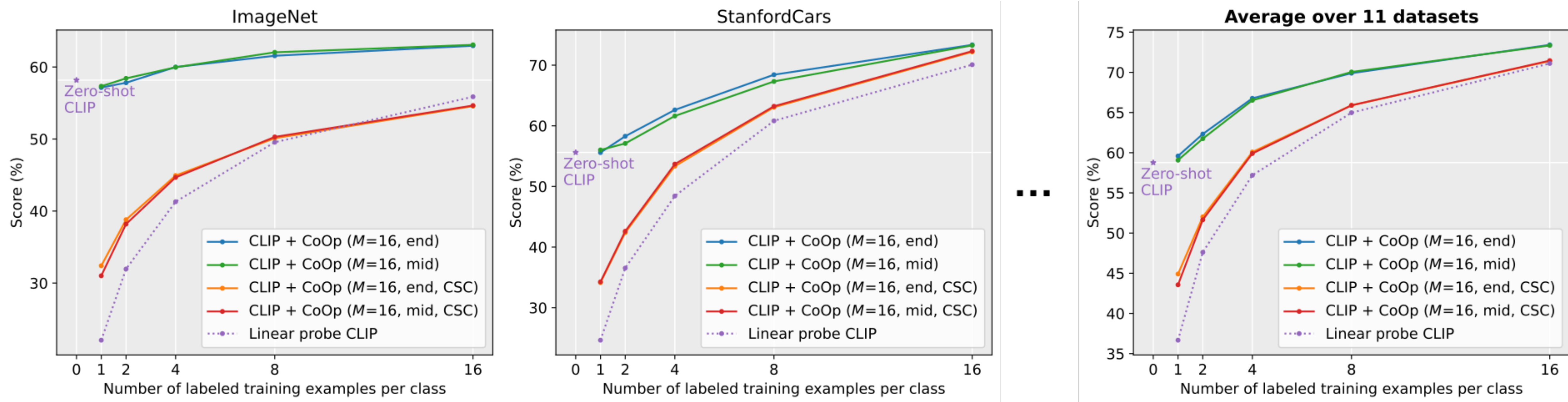
Context Optimization (CoOp)

/ku:p/

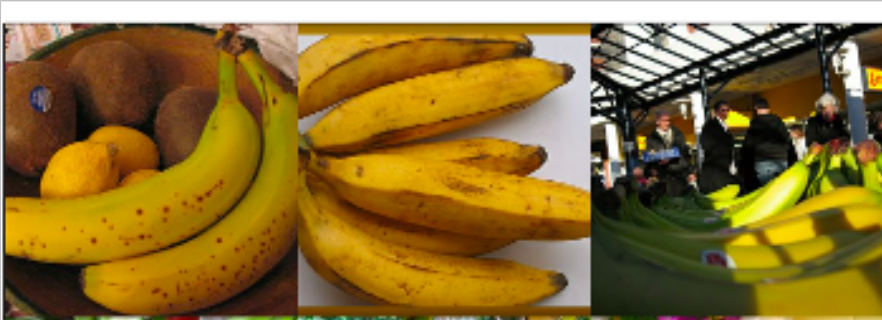


CoOp is a few-shot learner

11 datasets covering diverse classification problems:
generic/fine-grained objects, scenes, actions, etc.



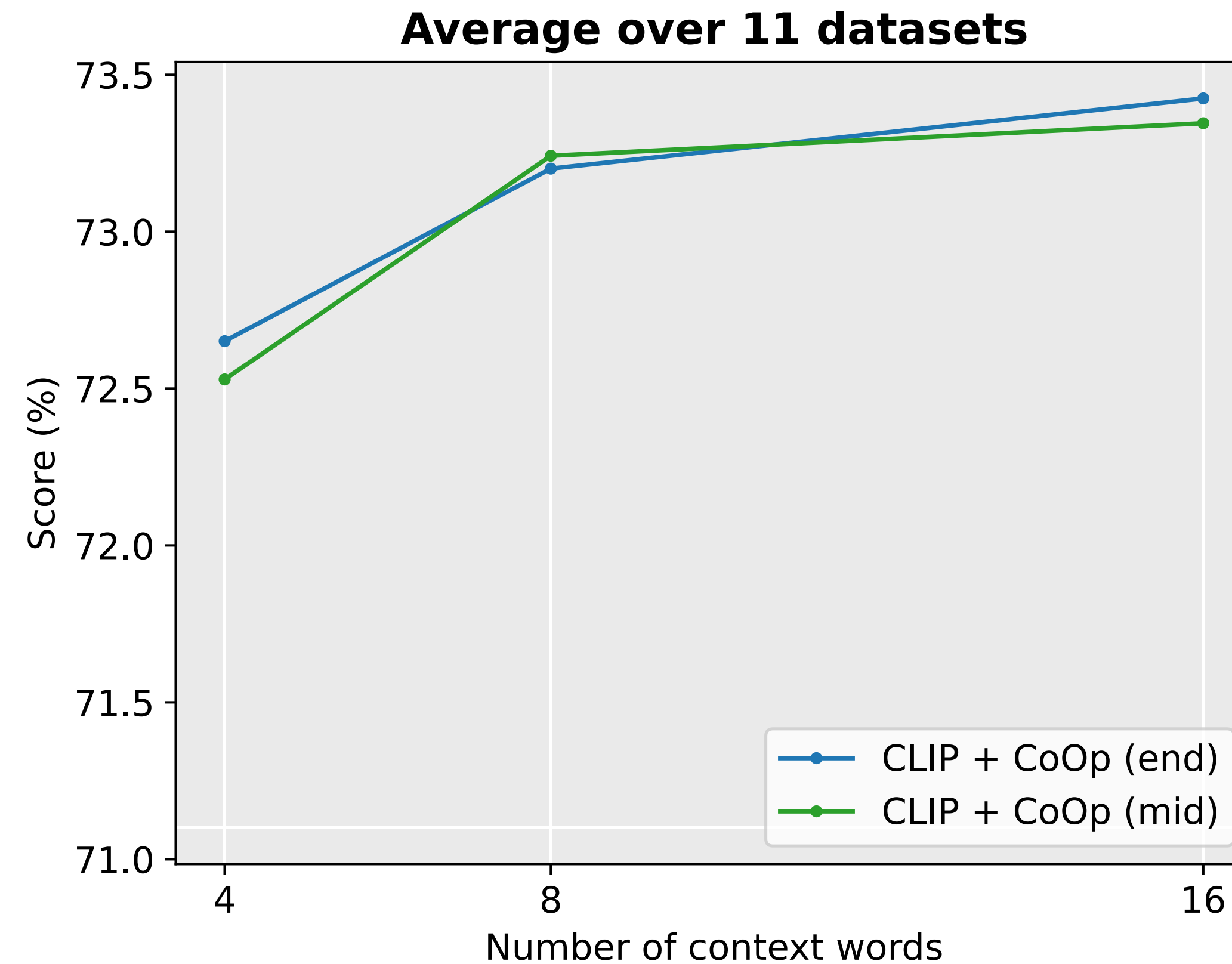
CoOp is domain-generalizable

		CLIP	Ours
ImageNet (source)		58.18	63.33
V2 (target)		51.34	55.40
Sketch (target)		33.32	34.67
Adversarial (target)		21.65	23.06
Rendition (target)		56.00	56.60

Method	Source	Target			
	ImageNet	-V2	-Sketch	-A	-R
ResNet-50					
Zero-Shot CLIP	58.18	51.34	33.32	21.65	56.00
Linear Probe CLIP	55.87	45.97	19.07	12.74	34.86
CLIP + CoOp ($M=16$)	62.95	55.11	32.74	22.12	54.96
CLIP + CoOp ($M=4$)	63.33	55.40	34.67	23.06	56.60
ResNet-101					
Zero-Shot CLIP	61.62	54.81	38.71	28.05	64.38
Linear Probe CLIP	59.75	50.05	26.80	19.44	47.19
CLIP + CoOp ($M=16$)	66.60	58.66	39.08	28.89	63.00
CLIP + CoOp ($M=4$)	65.98	58.60	40.40	29.60	64.98
ViT-B/32					
Zero-Shot CLIP	62.05	54.79	40.82	29.57	65.99
Linear Probe CLIP	59.58	49.73	28.06	19.67	47.20
CLIP + CoOp ($M=16$)	66.85	58.08	40.44	30.62	64.45
CLIP + CoOp ($M=4$)	66.34	58.24	41.48	31.34	65.78
ViT-B/16					
Zero-Shot CLIP	66.73	60.83	46.15	47.77	73.96
Linear Probe CLIP	65.85	56.26	34.77	35.68	58.43
CLIP + CoOp ($M=16$)	71.92	64.18	46.71	48.41	74.32
CLIP + CoOp ($M=4$)	71.73	64.56	47.89	49.93	75.14

More insights about CoOp

- Longer prompt works better but there is diminishing return



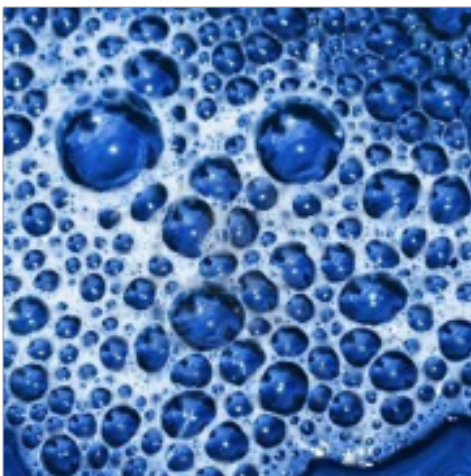
More insights about CoOp

- Initialization does not matter

	<i>Avg %</i>
$[V]_1[V]_2[V]_3[V]_4$	72.65
“a photo of a”	72.65

More insights about CoOp

- Interpretable? ... not really



Finding 1:
Few are somewhat relevant,
e.g., “fluffy” and “paw”

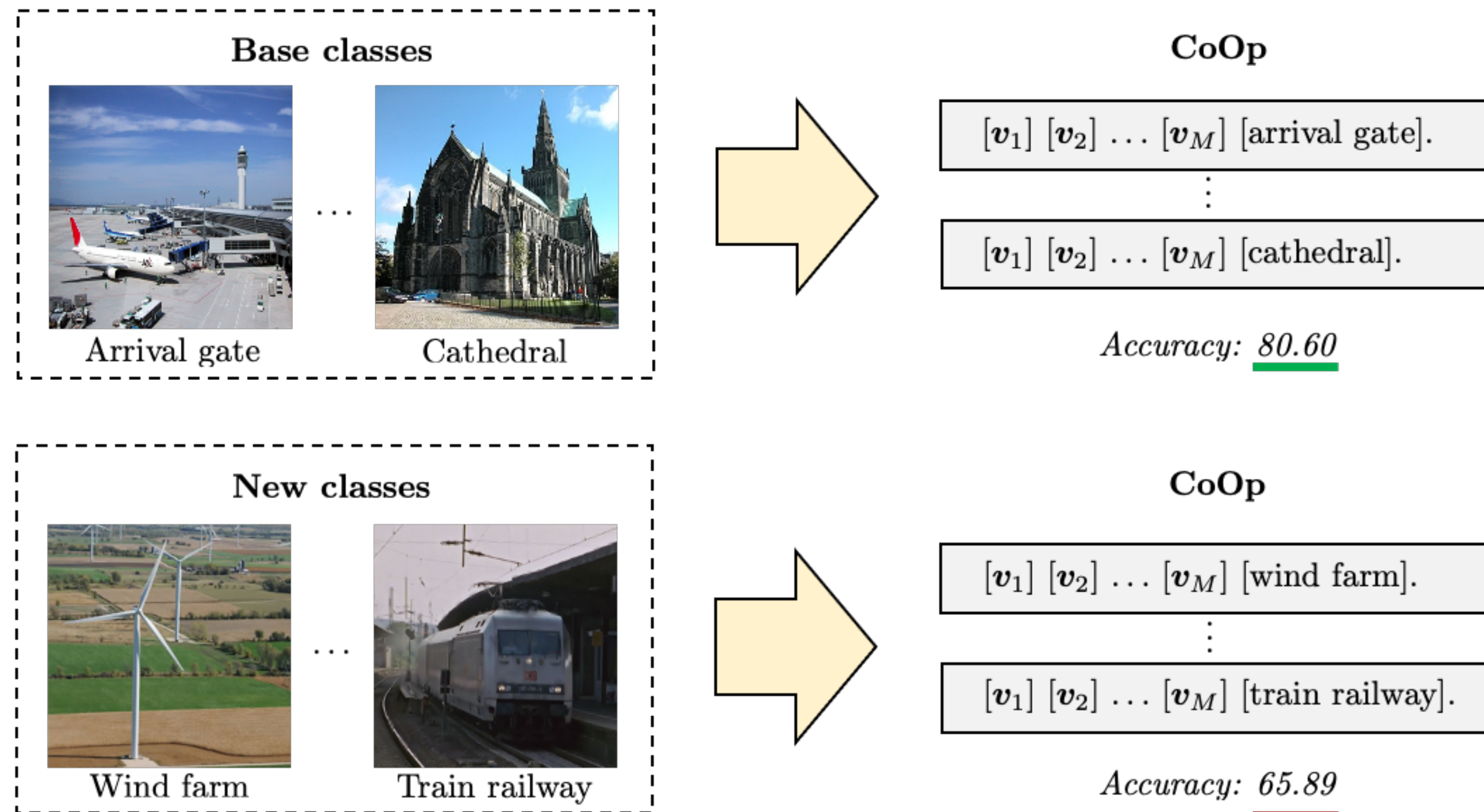
Finding 2:
The whole prompt does not
make much sense

#	ImageNet	Food101	OxfordPets	DTD	UCF101
1	Potd (1.7136)	Lc (0.6752)	Tosc (2.5952)	Boxed (0.9433)	Meteorologist (1.5377)
2	That (1.4015)	Enjoyed (0.5305)	Judge (1.2635)	Seed (1.0498)	Exe (0.9807)
3	Filmed (1.2275)	Beh (0.5390)	Fluffy (1.6099)	Anna (0.8127)	Parents (1.0654)
4	Fruit (1.4864)	Matches (0.5646)	Cart (1.3958)	Mountain (0.9509)	Masterful (0.9528)
5	,... (1.5863)	Nytimes (0.6993)	Harlan (2.2948)	Eldest (0.7111)	Fe (1.3574)
6	°(1.7502)	Prou (0.5905)	Paw (1.3055)	Pretty (0.8762)	Thof (1.2841)
7	Excluded (1.2355)	Lower (0.5390)	Incase (1.2215)	Faces (0.7872)	Where (0.9705)
8	Cold (1.4654)	N/A	Bie (1.5454)	Honey (1.8414)	Kristen (1.1921)
9	Stery (1.6085)	Minute (0.5672)	Snuggle (1.1578)	Series (1.6680)	Imam (1.1297)
10	Warri (1.3055)	~ (0.5529)	Along (1.8298)	Coca (1.5571)	Near (0.8942)
11	Marvelcomics (1.5638)	Well (0.5659)	Enjoyment (2.3495)	Moon (1.2775)	Tummy (1.4303)
12	.: (1.7387)	Ends (0.6113)	It (1.3726)	Ih (1.0382)	Hel (0.7644)
13	N/A	Mis (0.5826)	Improving (1.3198)	Won (0.9314)	Boop (1.0491)
14	Lation (1.5015)	Somethin (0.6041)	Srsly (1.6759)	Replied (1.1429)	N/A
15	Muh (1.4985)	Seminar (0.5274)	Asteroid (1.3395)	Sent (1.3173)	Facial (1.4452)
16	.# (1.9340)	N/A	N/A	Piedmont (1.5198)	During (1.1755)

Soft prompt in CV vs. NLP

	CV	NLP
Allows few-shot learning?	Yes	Yes
Is domain-generalizable?	Yes	Yes
Longer prompt works better?	Yes but has diminishing return	Yes but not too long
Initialization matters?	No	Yes
Is interpretable?	Not really	Sort of

Can CoOp generalize to broader (related) concepts within the same dataset?



The prompt only works for a subset of classes (i.e., overfitting)



More failure cases of CoOp on unseen classes (same dataset)



ImageNet
↓8.86%



Caltech101
↓8.19%



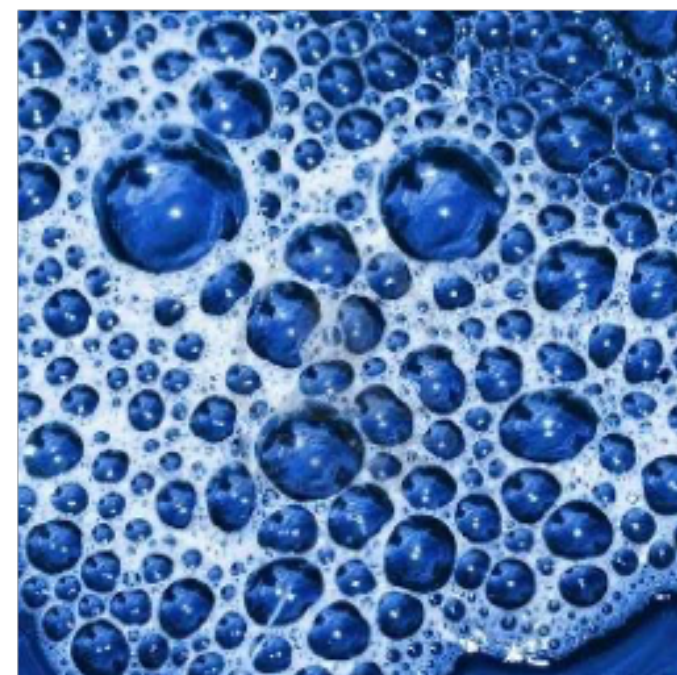
Flowers102
↓37.93%



StanfordCars
↓17.72%



FGVCAircraft
↓18.14%



DTD
↓38.26%



EuroSAT
↓37.45%



UCF101
↓28.64%

What is a good prompt?

A good prompt should characterize each instance with some specific context words

A person riding a
motorcycle on a dirt road.



Two dogs play in the grass.



Conditional Context Optimization (CoCoOp)

/kəʊˌku:p/

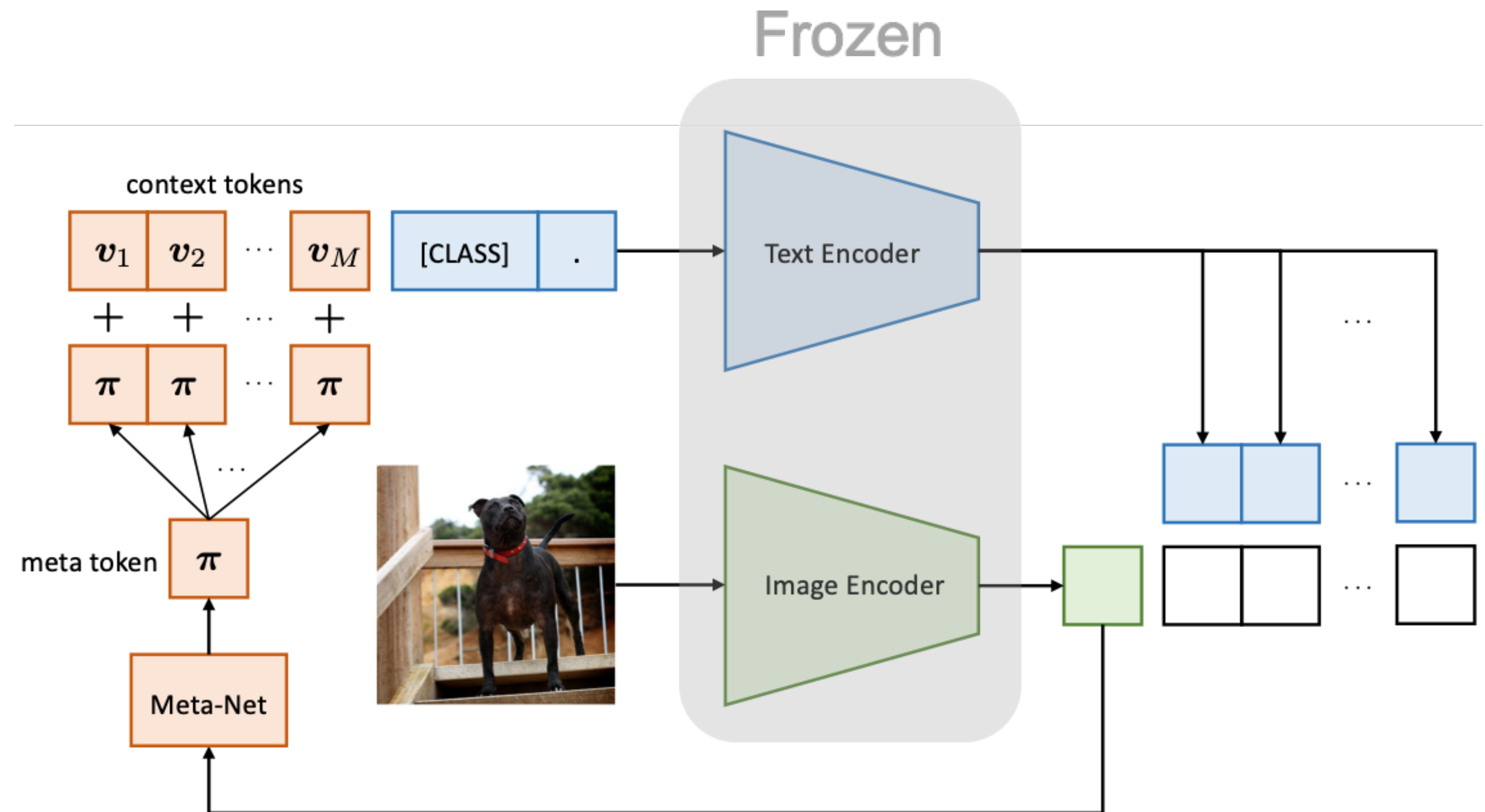
$$p(y|\mathbf{x}) = \frac{\exp(\text{sim}(\mathbf{x}, g(\mathbf{t}_y(\mathbf{x}))) / \tau)}{\sum_{i=1}^K \exp(\text{sim}(\mathbf{x}, g(\mathbf{t}_i(\mathbf{x}))) / \tau)}$$

Conditioned on image

A parameter-efficient design:
Learn a single mini-network h_θ

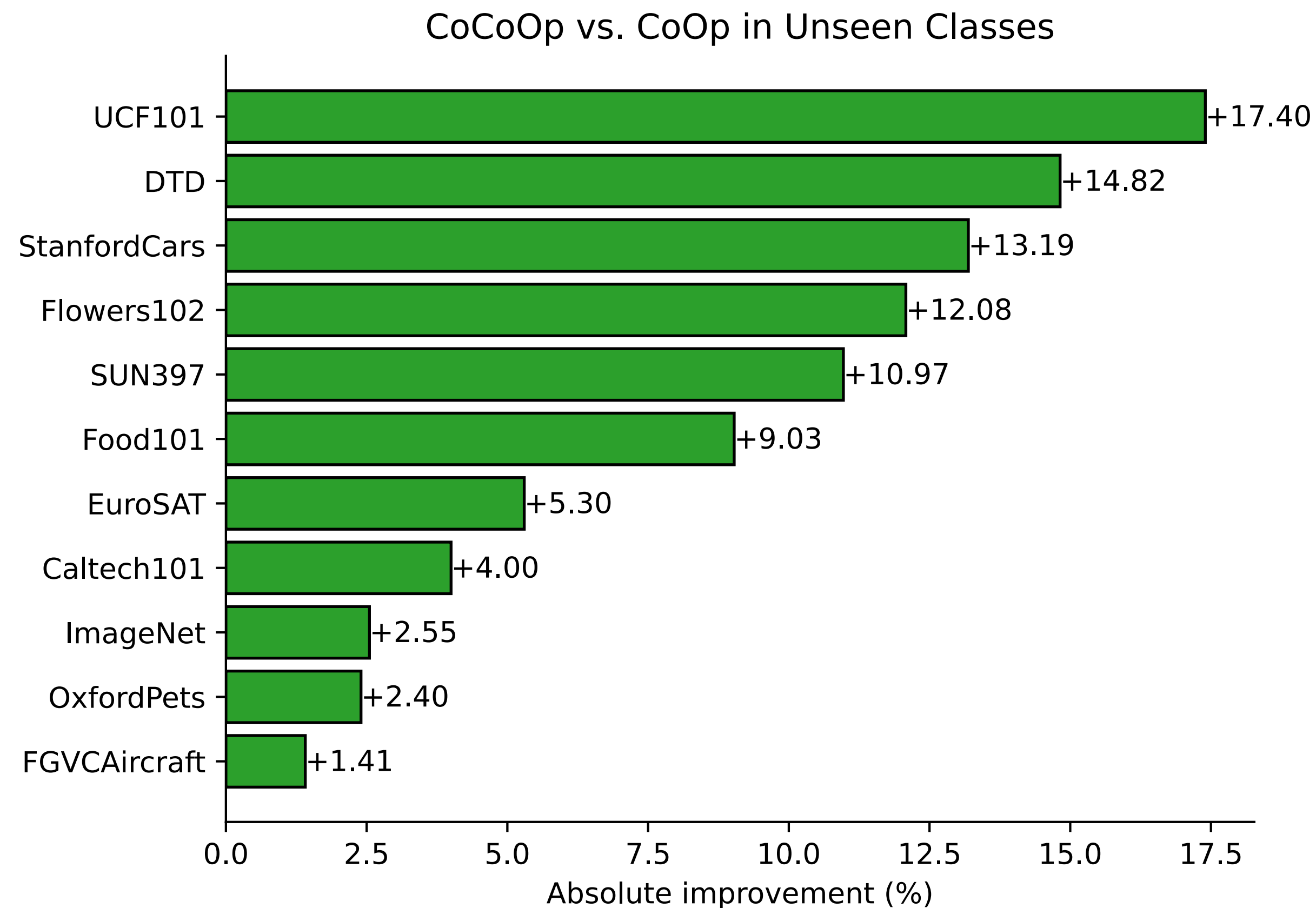
$$\mathbf{t}_i(\mathbf{x}) = \{\mathbf{v}_1(\mathbf{x}), \dots, \mathbf{v}_M(\mathbf{x})\}$$

$$\mathbf{v}_m(\mathbf{x}) = \mathbf{v}_m + h_\theta(\mathbf{x})$$



Findings of conditional prompt learning

- Is more generalizable



Findings of conditional prompt learning

- Is more transferable

	Source	Target										
	<i>ImageNet</i>	<i>Caltech101</i>	<i>OxfordPets</i>	<i>StanfordCars</i>	<i>Flowers102</i>	<i>Food101</i>	<i>FGVCAircraft</i>	<i>SUN397</i>	<i>DTD</i>	<i>EuroSAT</i>	<i>UCF101</i>	<i>Average</i>
CoOp [62]	71.51	93.70	89.14	64.51	68.71	85.30	18.47	64.15	41.92	46.39	66.55	63.88
CoCoOp	71.02	94.43	90.14	65.32	71.88	86.06	22.94	67.36	45.73	45.37	68.21	65.74
Δ	-0.49	+0.73	+1.00	+0.81	+3.17	+0.76	+4.47	+3.21	+3.81	-1.02	+1.66	+1.86

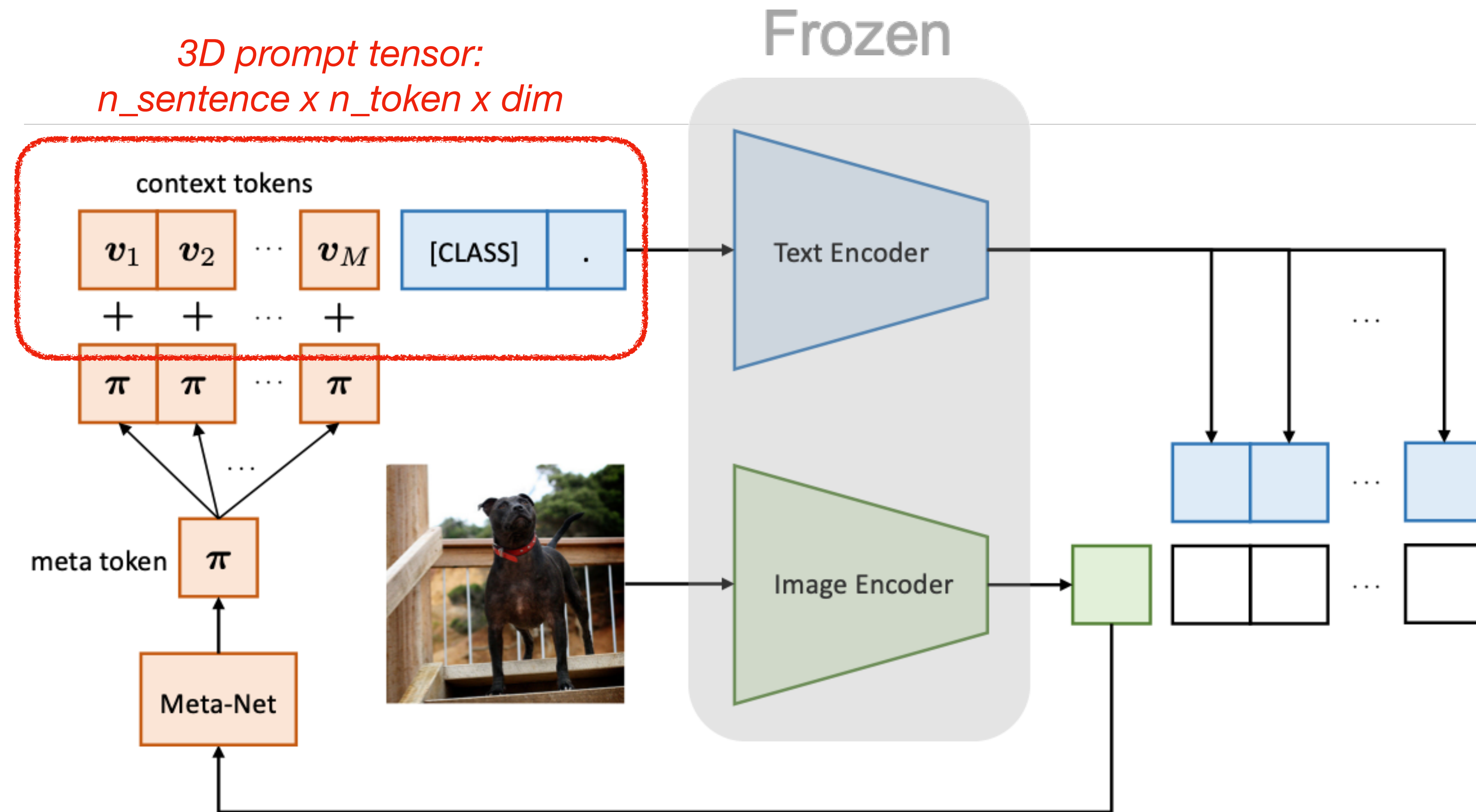
Findings of conditional prompt learning

- Is more robust to distribution shifts

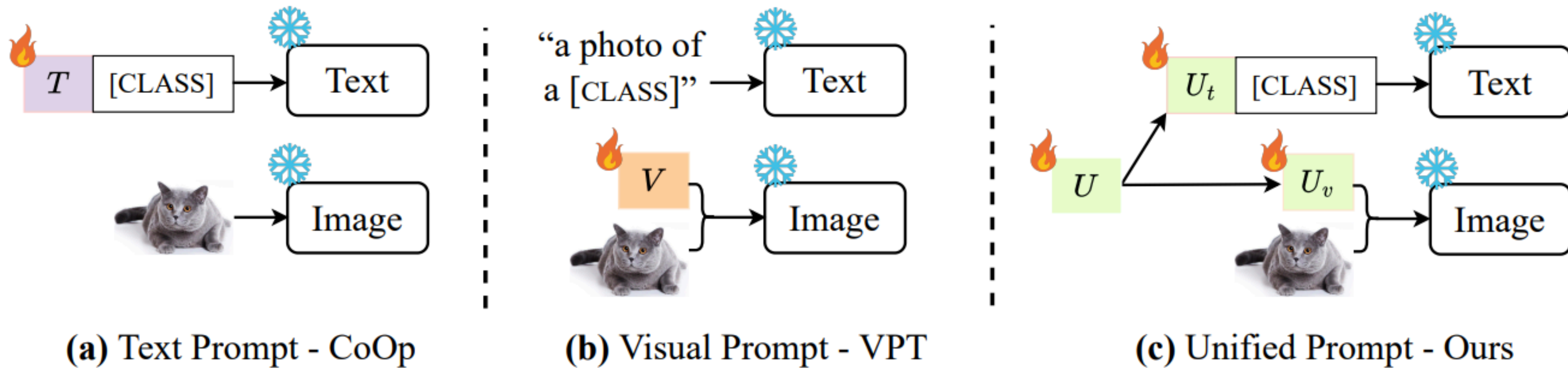
	Learnable?	Source	Target			
		ImageNet	ImageNetV2	ImageNet-Sketch	ImageNet-A	ImageNet-R
CLIP [40]		66.73	60.83	46.15	47.77	73.96
CoOp [62]	✓	71.51	64.20	47.99	49.71	75.21
CoCoOp	✓	71.02	64.07	48.75	50.63	76.18

Findings of conditional prompt learning

- Is very slow to train

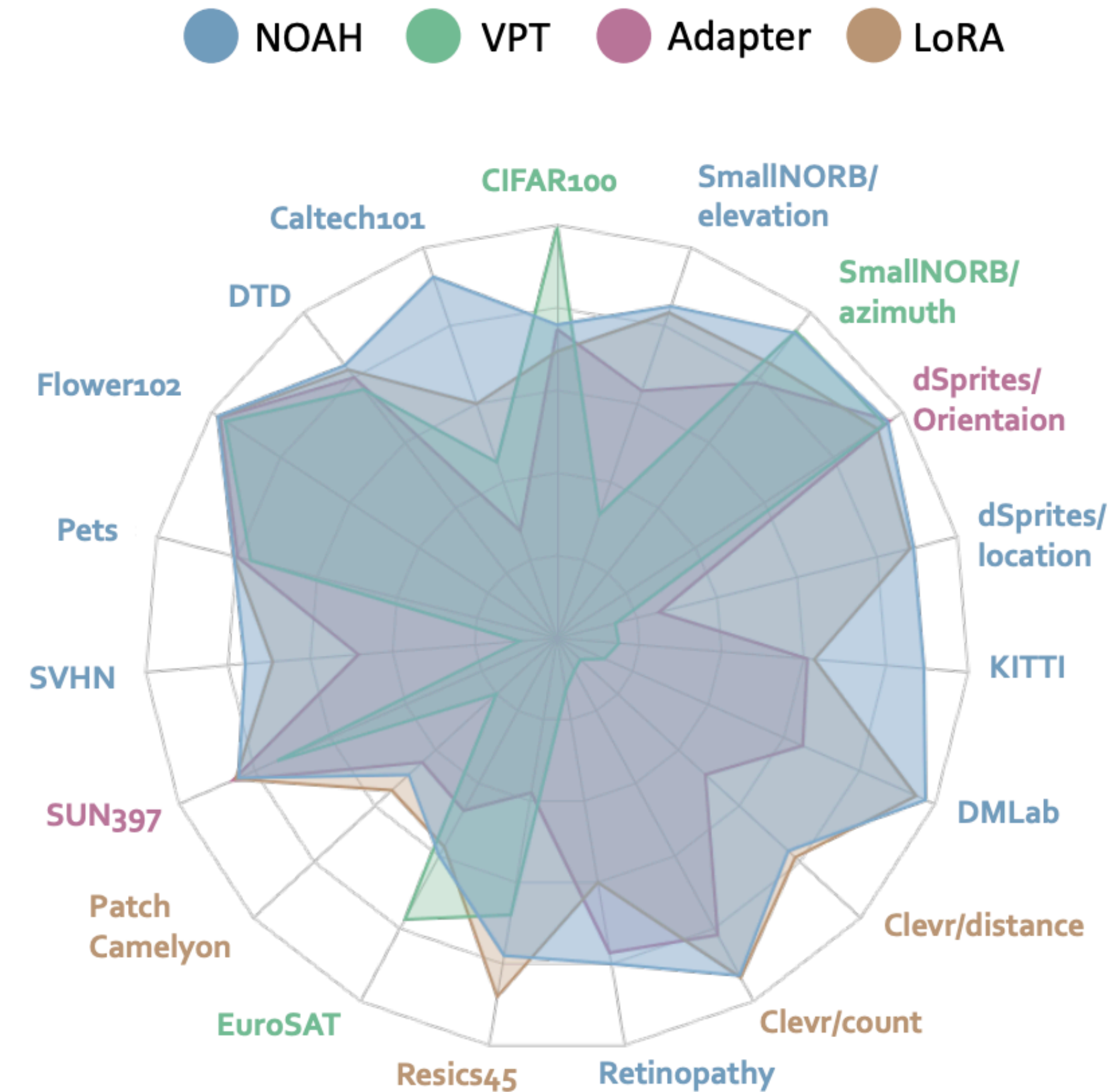
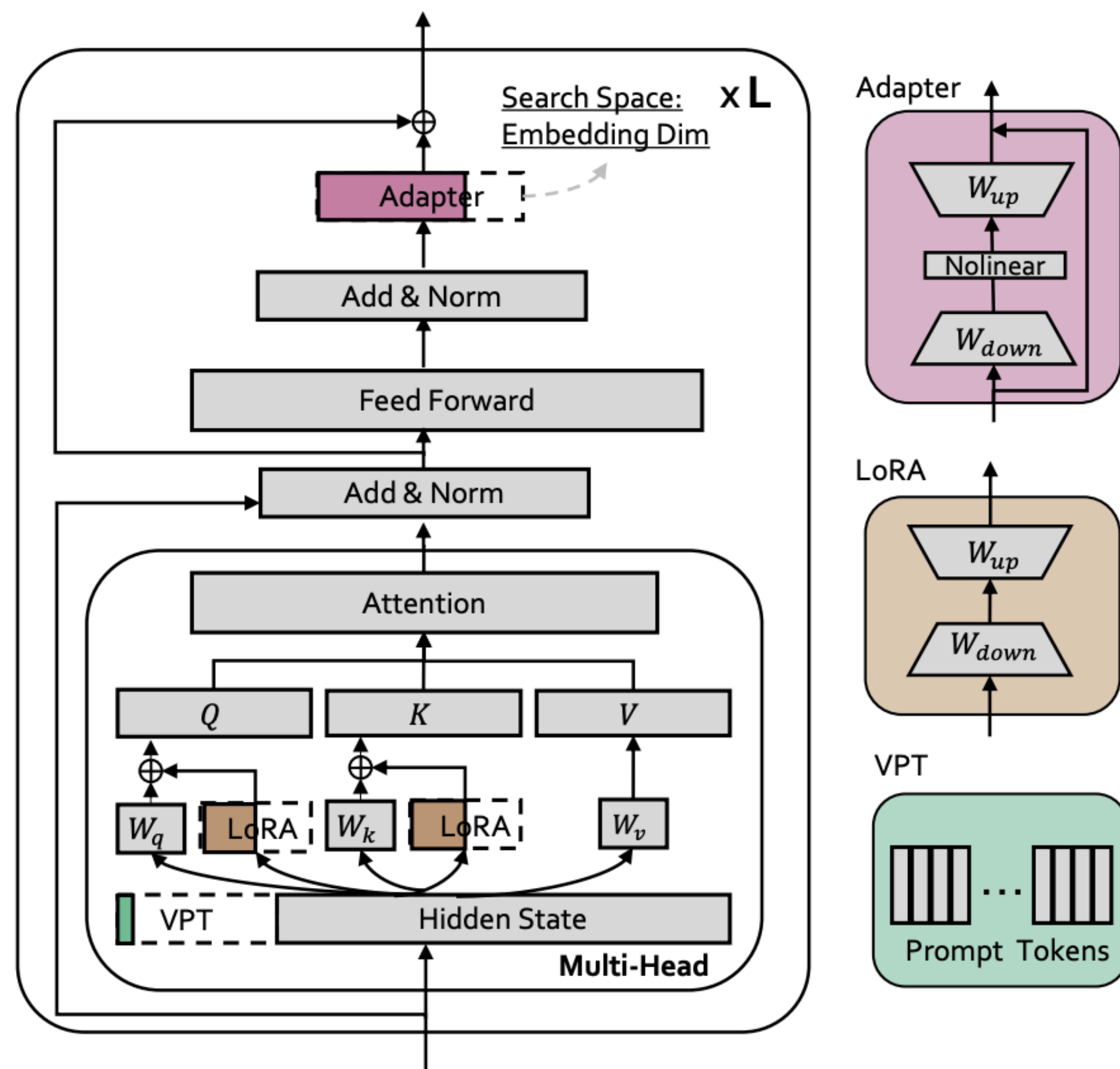


Want faster training? Try multimodal prompt learning



#	Method	Source	Target				Average	OOD Average
		ImageNet	-V2	-S	-A	-R		
1	CoOp	71.51	64.20	47.99	49.71	75.21	61.72	59.28
2	CoCoOp	71.02	64.07	48.75	50.63	76.18	62.13	59.91
3	VPT-shallow	68.98	62.10	47.68	47.19	76.10	60.38	58.27
4	VPT-deep	70.57	63.67	47.66	43.85	74.42	60.04	57.40
5	UPT	72.63	64.35	48.66	50.66	76.24	62.51	59.98

Have more compute? Try neural prompt search



What if we only have access to model APIs?

Model-as-a-Service (MaaS)

- APIs are provided to users instead of model weights
- Reasons: model size, accessibility, maintenance, monetization, security, etc.



Visual in-context learning

User can customize models by “tuning” the in-context example(s)

In-context example



Edge detection



Colorization



Inpainting



Segmentation

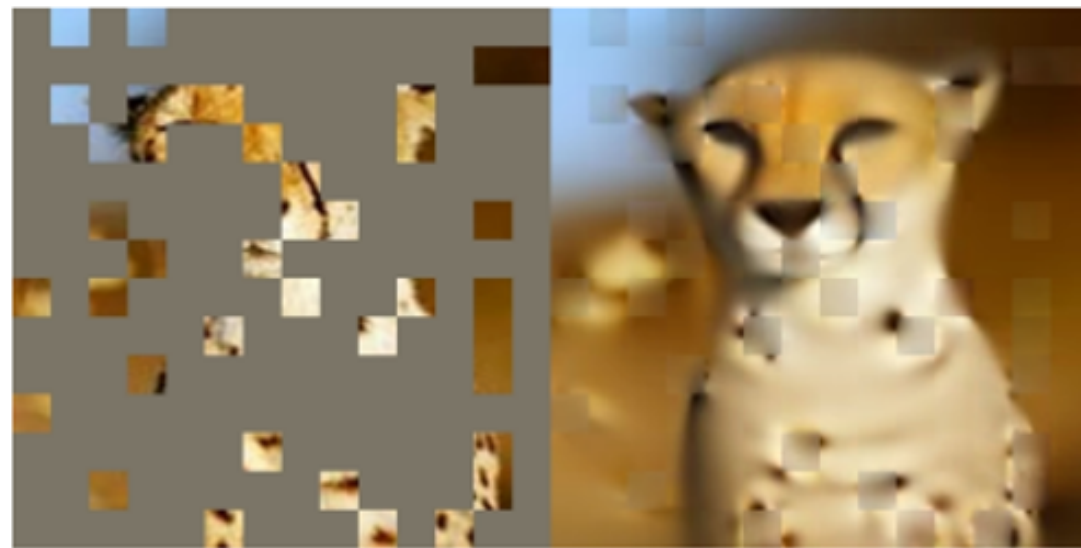


Style transfer

Query

Visual in-context learning

- Train: Masked image modeling



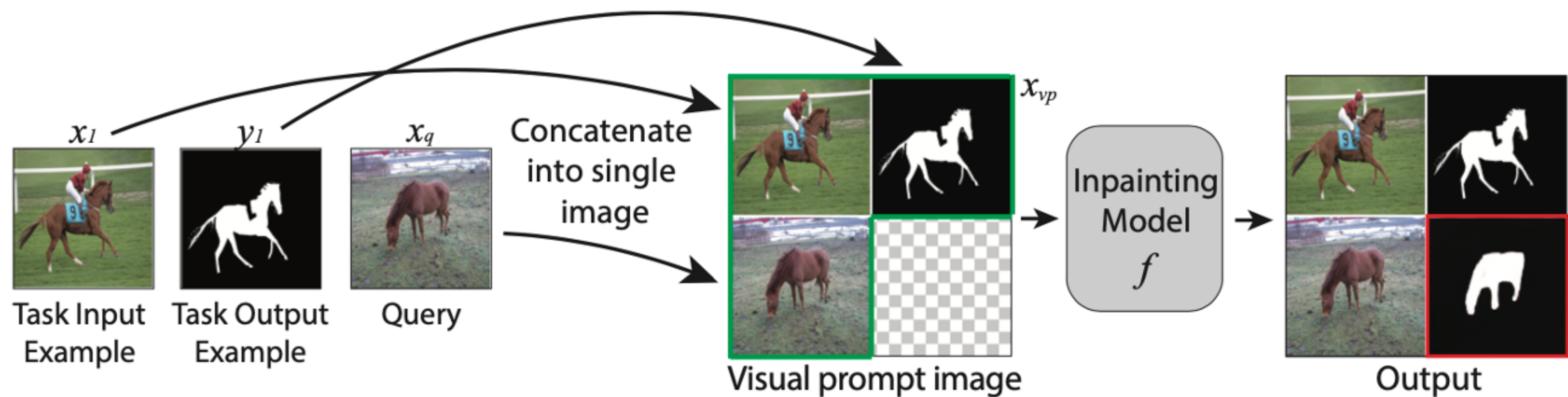
Key idea: Train the model to fill missing patches



*Training dataset: Computer Vision Figures, with 88k unlabeled **grid-like** images collected from computer vision papers*

Visual in-context learning

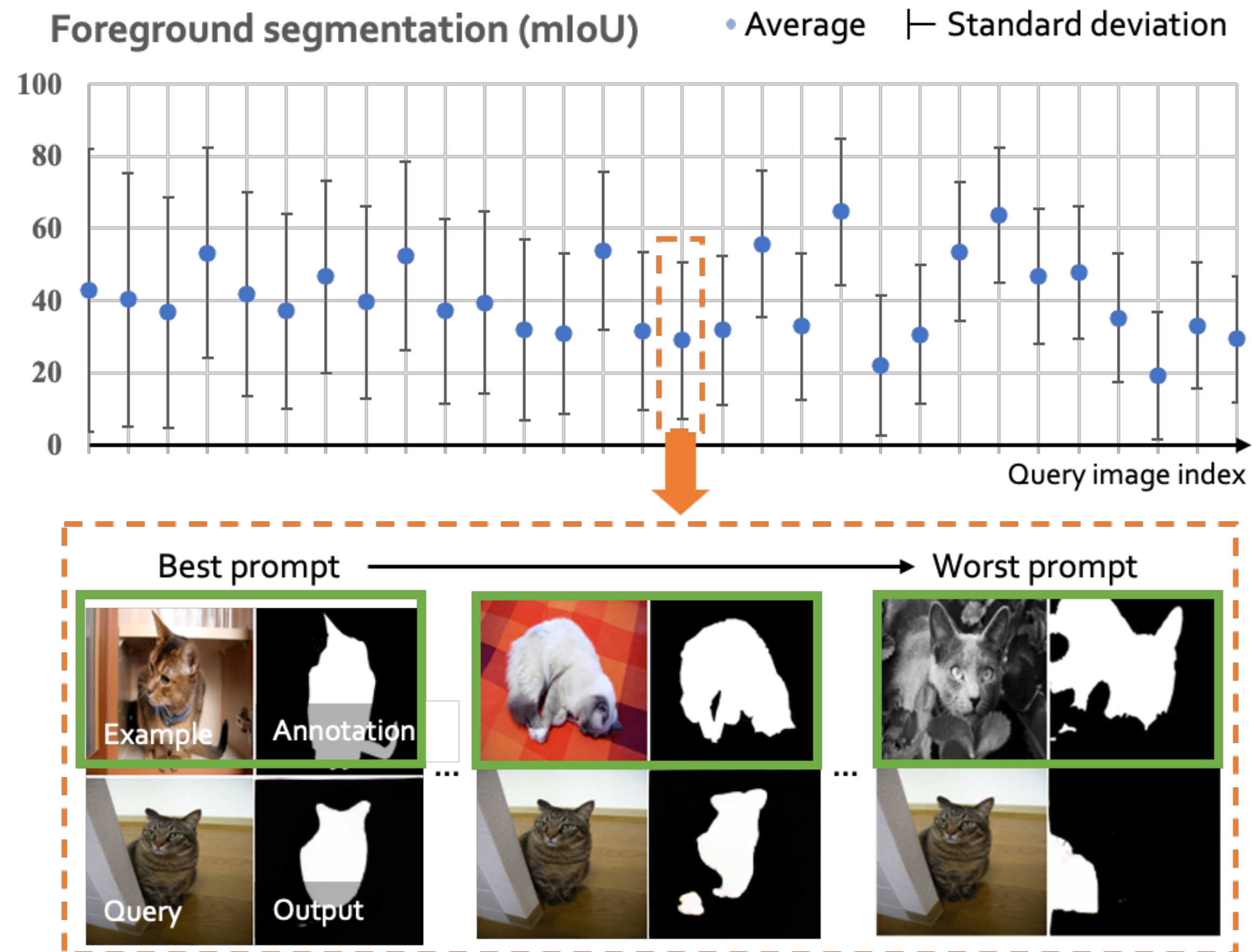
- Test: In-context learning



No parameter update!

Visual in-context learning

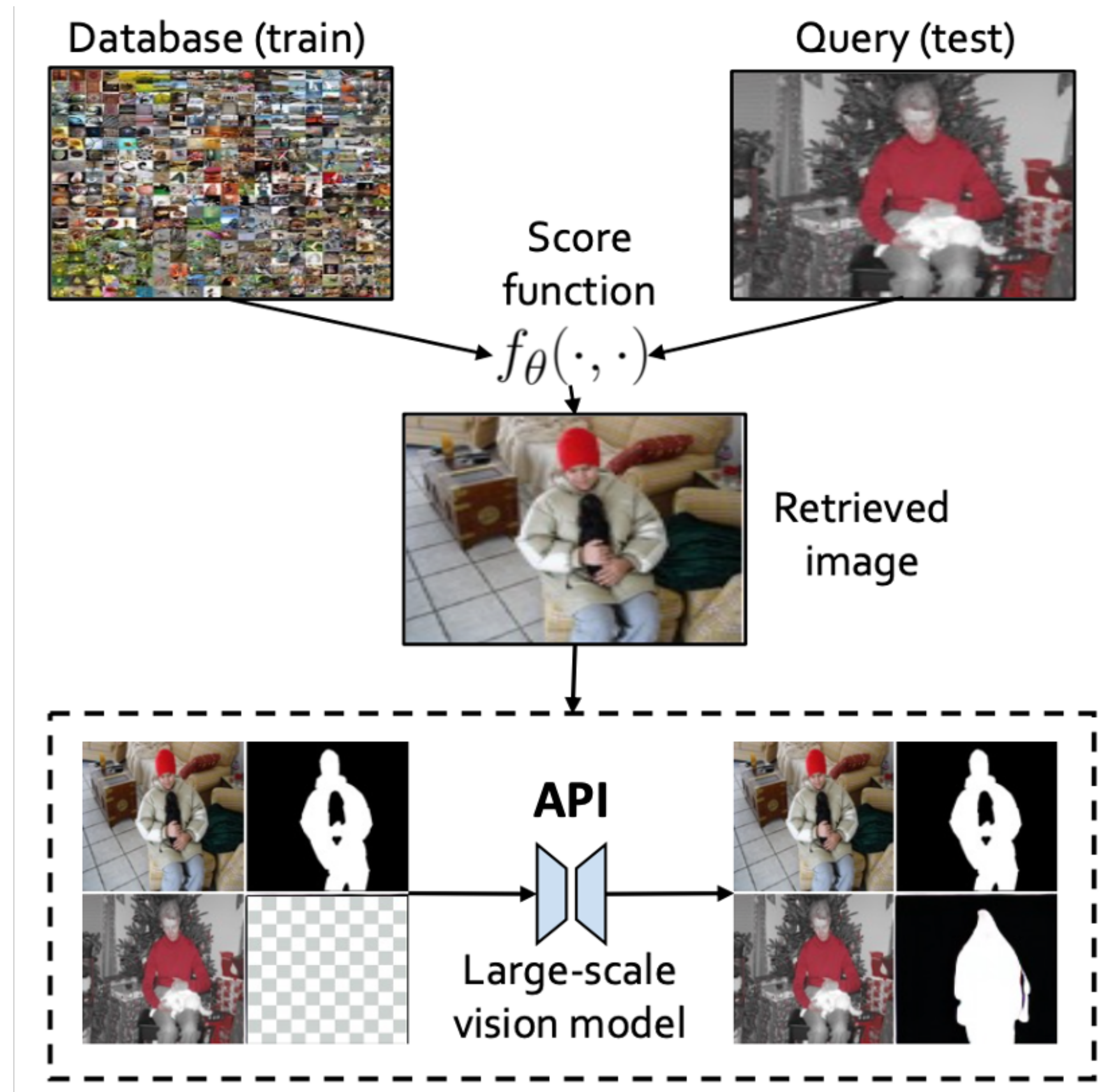
- The choice of in-context examples matters a lot



Random selection => large variances

Manual selection is time-consuming

Prompt retrieval

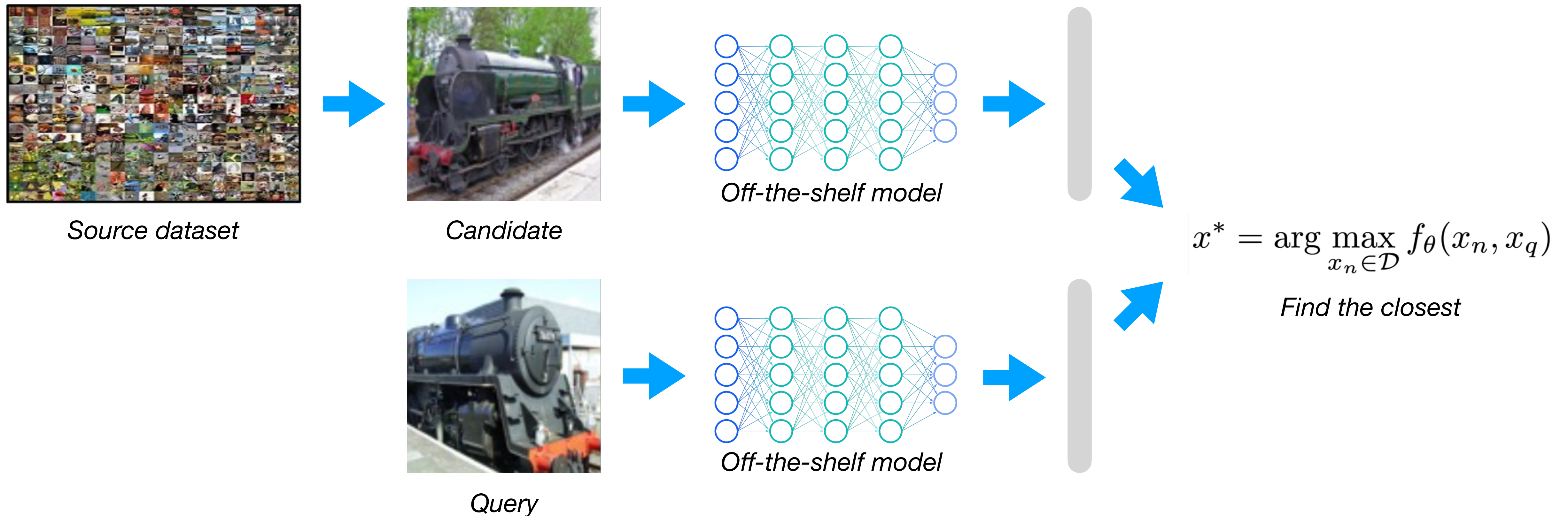


Use the **API's output as supervision**

$$x^* = \arg \max_{x_n \in \mathcal{D}} f_{\theta}(x_n, x_q)$$

Unsupervised prompt retrieval

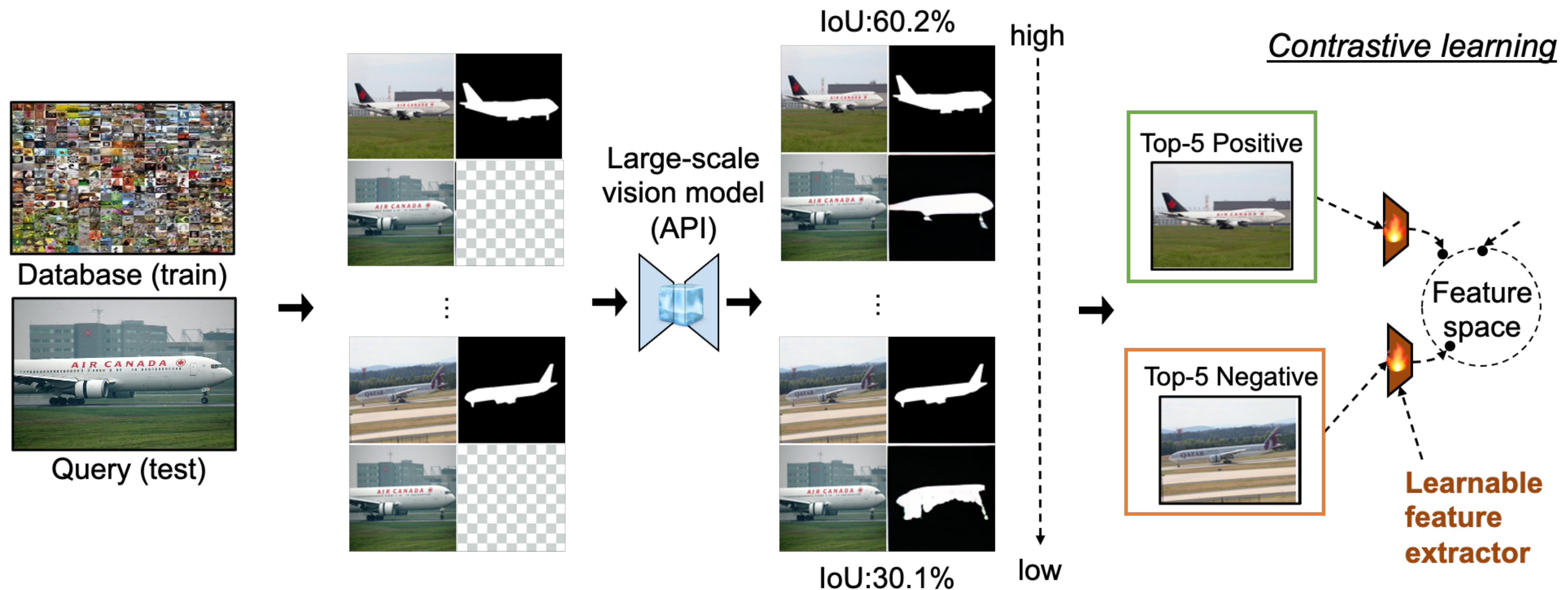
Semantic closeness



Supervised prompt retrieval

Directly optimize in-context learning using a surrogate loss

$$\max_{\mathcal{P}} \log p(y_q | \mathcal{P}, x_q)$$



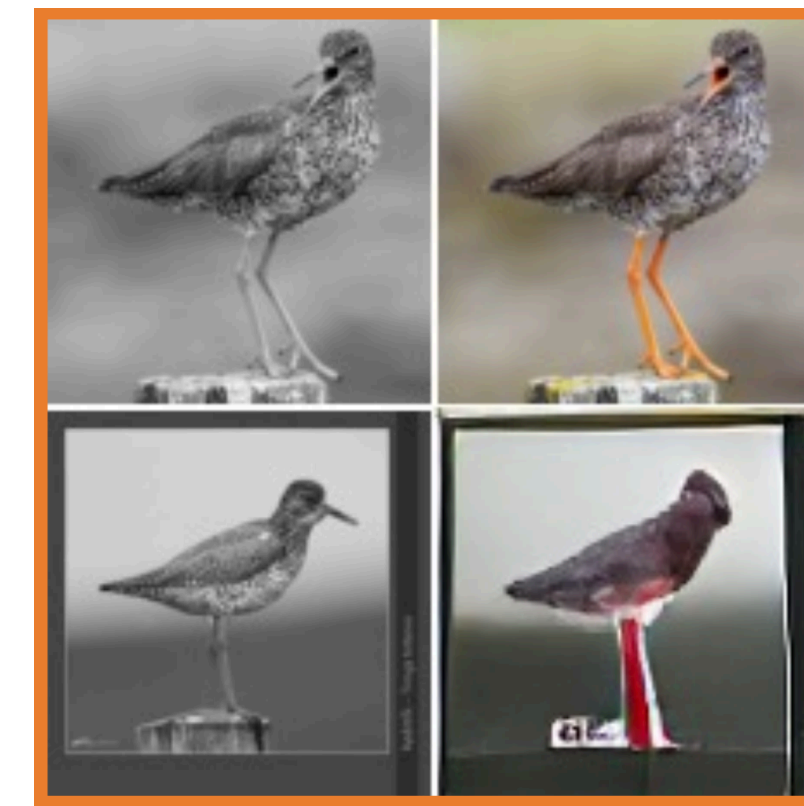
Prompt retrieval vs. random selection



Foreground segmentation



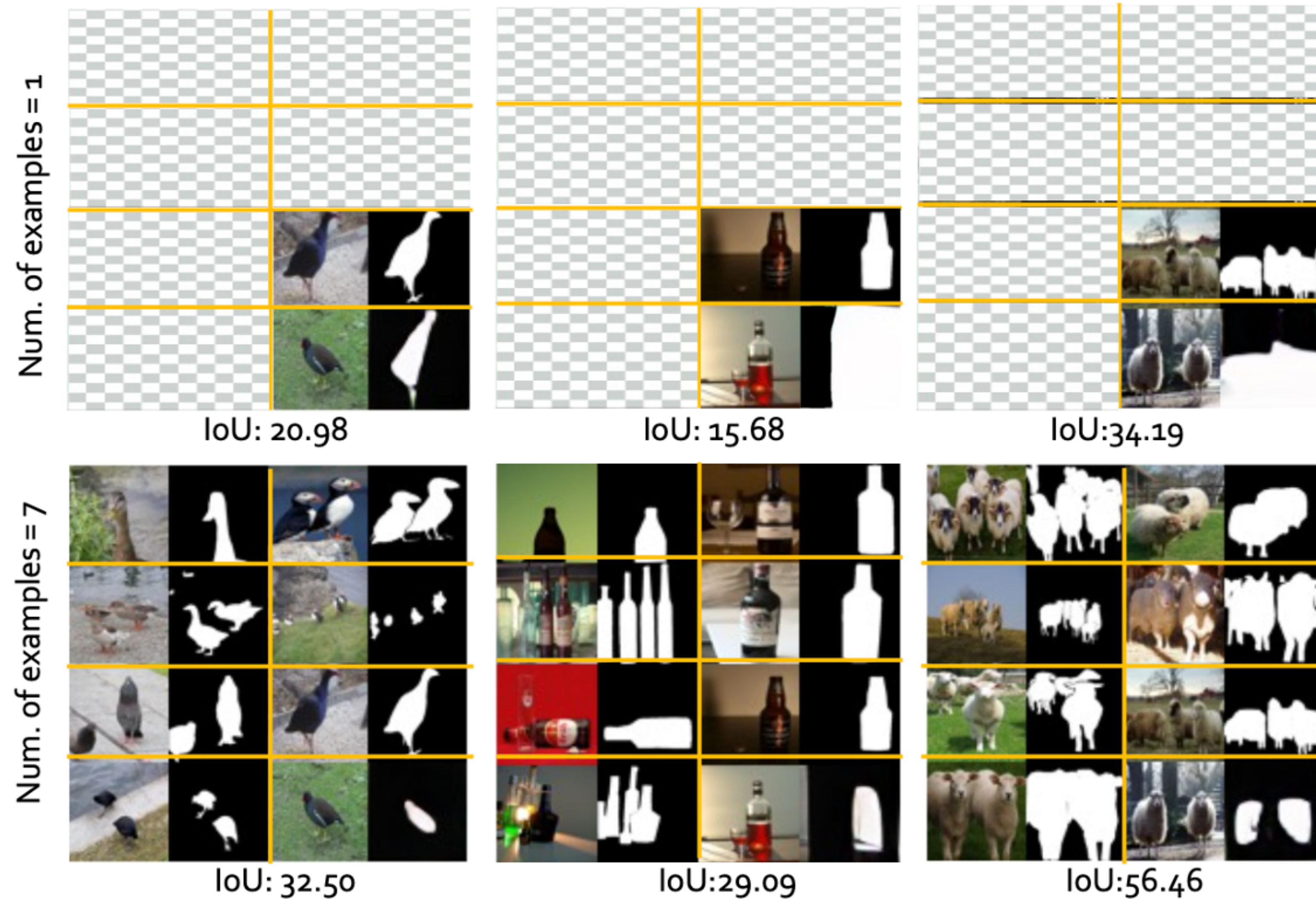
Single object detection



Colorization

	Seg. (mIOU) ↑	Det. (mIOU) ↑	Color. (mse) ↓
Random	27.56	25.45	0.67
UnsupPR	33.56	26.84	0.63
SupPR	35.56	28.22	0.63

in-context examples: more is better



Order of in-context examples: does not matter

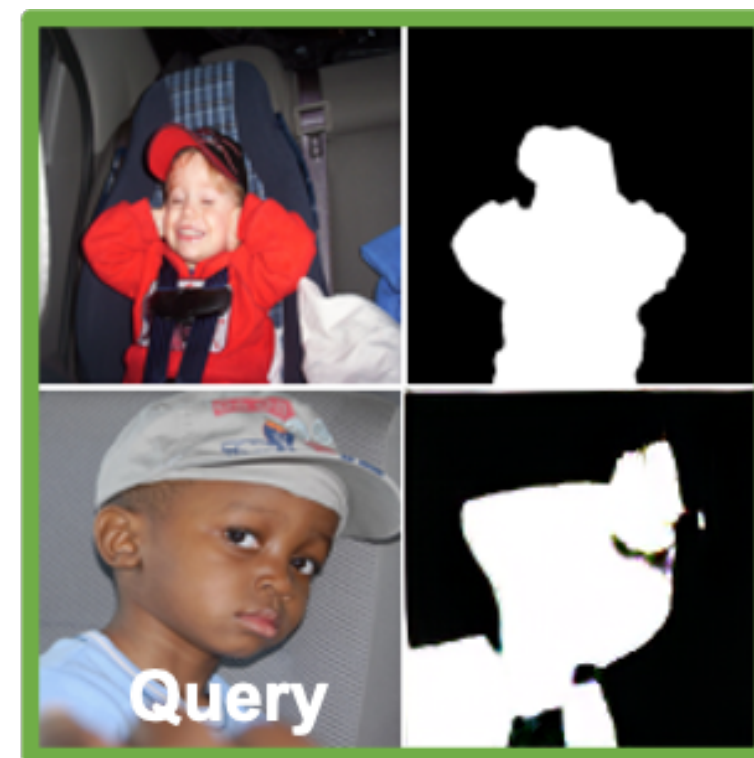
	Split-0	Split-1	Seg. (mIoU) ↑ Split-2	Split-3	Avg
Random	17.93 ± 0.20	25.48 ± 0.27	21.34 ± 0.73	21.12 ± 0.53	21.46 ± 0.43
UnsupPR	20.22 ± 0.31	27.58 ± 0.40	22.42 ± 0.38	23.36 ± 0.42	23.39 ± 0.37
SupPR	20.74 ± 0.40	28.19 ± 0.37	23.09 ± 0.34	24.22 ± 0.48	24.06 ± 0.40

Variances of different orders

What are good in-context examples?

Closeness in semantics, background, pose, appearance, view point, etc.

UnsupPR



IoU: 61.25



IoU: 8.45

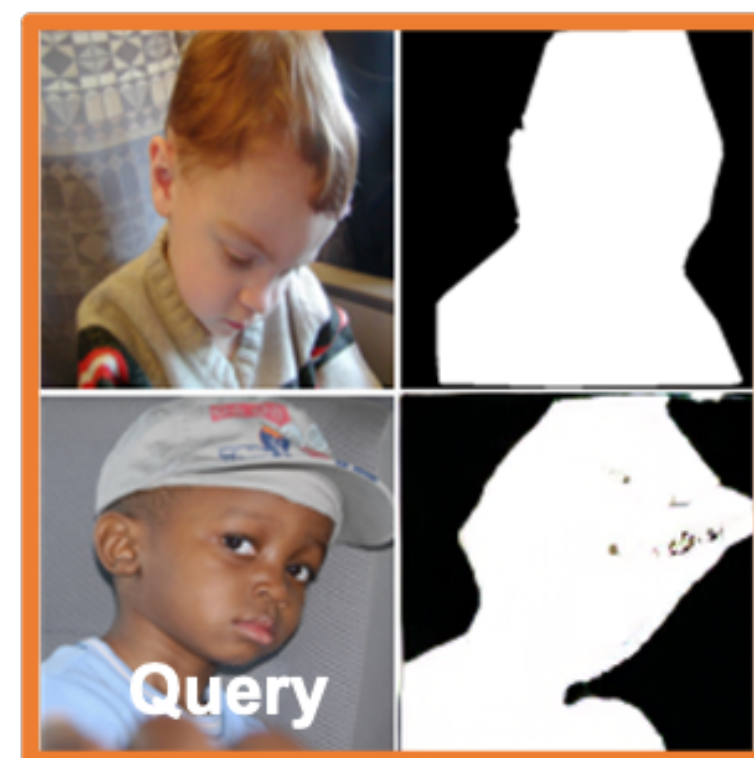


IoU: 37.31



IoU: 42.10

SupPR



IoU: 86.64



IoU: 63.14



IoU: 47.33



IoU: 49.03

Key takeaways

- Prompting has become a dominating paradigm in both NLP & CV
- Soft prompt learning in NLP & CV:
 - is data-efficient
 - is domain-generalizable
 - is difficult to interpret
- Conditional prompt learning works better but is slow to train
- Multimodal prompt learning offers better trade-offs
- Do neural prompt search if more compute is available
- Only APIs are available? Use their output as supervision

Prompting => conversational visual intelligence

References

- Learning to Prompt for Vision-Language Models.
- Conditional Prompt Learning for Vision-Language Models.
- Unified Vision and Language Prompt Learning.
- Neural Prompt Search.
- What Makes Good Examples for Visual In-Context Learning?

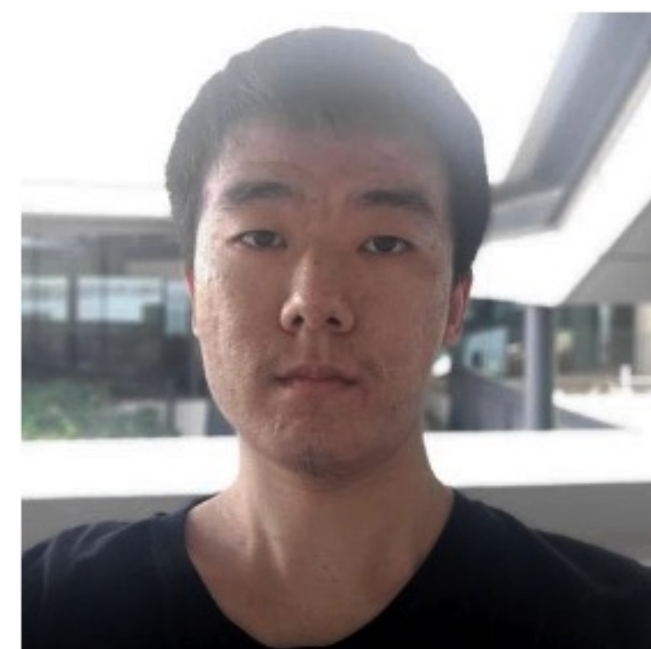
Code: <https://github.com/KaiyangZhou>

Paper pdfs: <https://kaiyangzhou.github.io/>

Acknowledgement



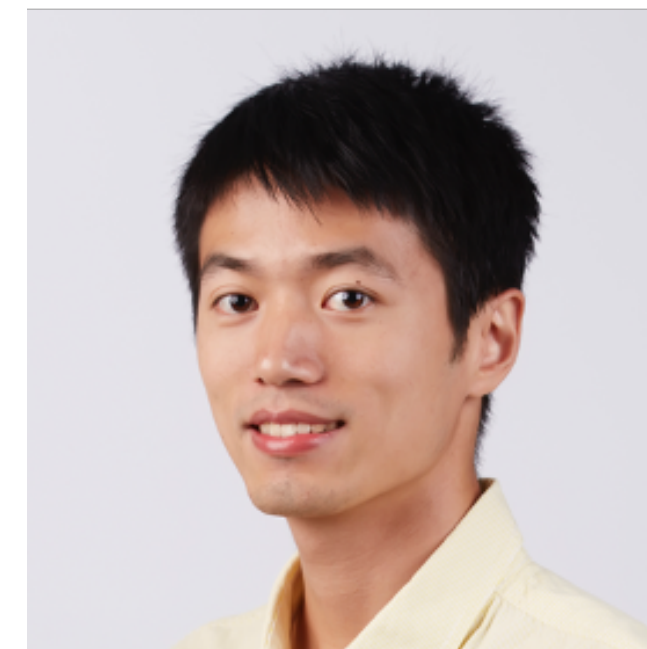
Jingkang Yang



Yuhang Zang



Yuanhan Zhang



Ziwei Liu



Chen Change Loy

Thanks! Any question?

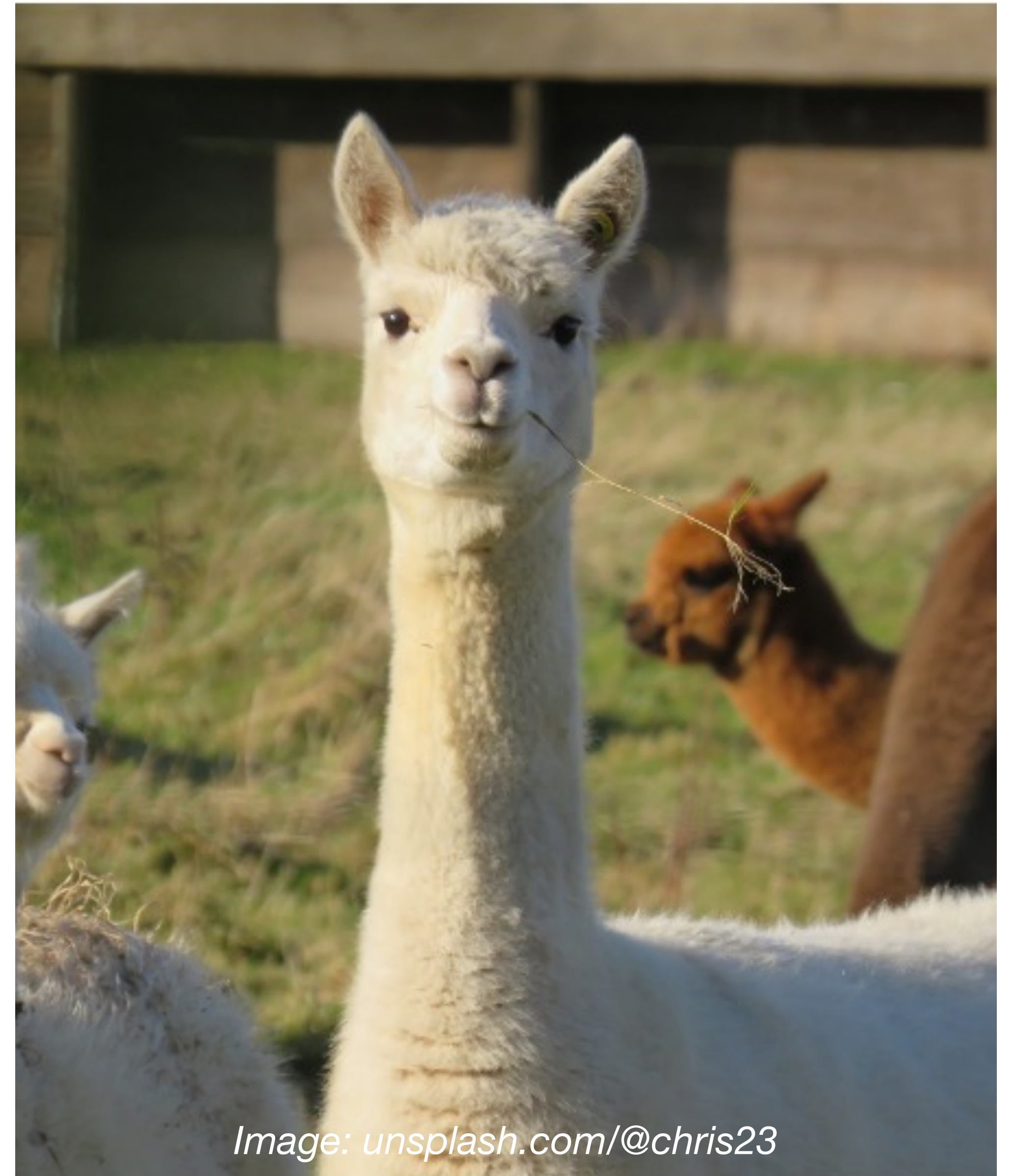


Image: unsplash.com/@chris23